

## Research Article

# Field Division Routing

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Multihop communication objectives and constraints impose a set of challenging requirements that create difficult conditions for simultaneous optimization of features such as scalability and performance. Routing in wireless multihop networks represents a crucial component of the overall network efficacy because it is a lower layer that enables the actual functionality of networks. We have developed field division routing (FDR), a distributed and nonhierarchical routing protocol that aims to coordinated addressing of scalability, topology alternations, latency, throughput, energy efficiency, and local storage requirements. FDR is based upon two optimization mechanisms: a reactive and focused diffusion that collects only network topology information directly required for making localized routing decisions, and a protocol for sharing routing information among neighboring nodes. Routing table initialization and maintenance are scalable in terms of both storage and overhead traffic necessary for building routing tables. FDR provides guaranteed connectivity while providing near-optimal all-node-pairs message delivery. The protocol is also power-efficient to a wide spectrum of topology changes that induce relatively few messages to update routing tables network-wide. We analyzed the new routing protocol both theoretically and using simulation.

## 1. Introduction

Wireless ad hoc networks (WAHNs) are commonly abstracted as a system of application-specific devices (nodes) with processing, storage, communication, and often sensing capabilities where nodes communicate via radio frequency waves. The communication range is often substantially shorter than the network diameter. Therefore, each node acts as a router and communicates with other nodes via a multi-hop protocol. Routing protocols in WAHNs play an important role as they have ramifications on the key system requirements: (i) scalability, (ii) resilience to topology change, (iii) overall node connectivity, communication (iv) latency (delay) and (v) throughput, (vi) power consumption, and (vii) local storage requirements [1]. The role of routing in WAHNs is to enable primary functionality of a network such as monitoring of objects, detection of different type of events, and data distribution and dissemination with a purpose for actuation on observed events. Due to its

importance, routing in WAHNs has been addressed in numerous proposals that target various subsets of the seven criteria. Nevertheless, due to the complex set of often contradictory requirements, the search for ever improving protocols continues.

Routing decisions in WAHNs are supported either using routing tables that specify the network topology or using a geographic routing criterion for making localized forwarding decisions (see Section 2). The first class of protocols requires hard-to-scale worldwide routing table updates upon each topology change, while guaranteed message delivery (see Figure 1) and shortest-path communication are the key challenges for the latter class.

A popular heuristic approach that addresses criteria (iii–vi) is to ensure shortest-path routing between any two nodes in the network that can potentially communicate while minimizing the storage requirement at each node. All-shortest-paths routing is difficult to achieve using a scalable distributed protocol in the presence of arbitrary

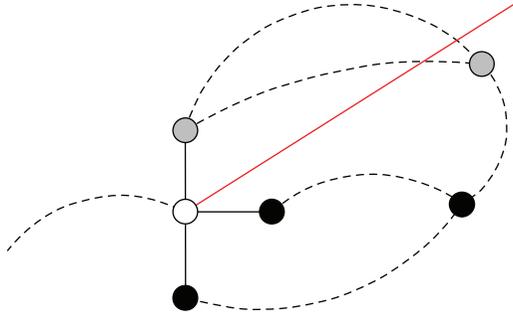


FIGURE 1: Challenges of multi-hop routing: In a relatively challenging setting with four disjoint communication obstacles, we generated a fully connected network of 200 (illustrated), 500, and 1000 nodes. GPSR [2] succeeded in connecting only 79%, 88.6%, and 90.3%, respectively, node pairs; thus, in a randomly generated traffic model, approximately 10–20% of all traffic is likely not to reach destination in these networks.

topology changes. We aim for this objective and propose a novel, distributed protocol, field division routing (FDR), that targets all of the seven system requirements. In FDR, each node contains *all* information necessary to distribute messages to any other node in the network; each node derives this information based upon the geographic location of a relatively small subset of nodes, which are located in its vicinity. Each node divides the geographical network field into a tile of zones unique for this node. Traffic to all nodes in one zone is routed via a neighbor uniquely assigned to that zone. If all FDR tables are computed centrally, they enable all-shortest-paths routing in a network with static topology [3]. Here, we explore the more complex but significantly more energy-efficient and scalable approach where each node computes and maintains its own routing table in the presence of an ever-changing network topology.

Under the mild assumption that the network is within a finite field and that each node is aware of its geographic location, we introduce a `DISCOVERY` protocol that computes a near-optimal shortest-paths routing table for a given node in a relatively message-intensive manner. Since `DISCOVERY` must be repeated for each node upon every topology change, we reduce the network maintenance overhead by introducing a novel and near-optimal procedure for routing table `INHERITANCE` from neighboring nodes. Next, we propose a distributed protocol for network “initialization” with an objective to reduce the number of nodes that perform `DISCOVERY` while computing their routing tables. In order to support a dynamic network topology, that is, arbitrary motion of nodes and obstacles, we introduce algorithms for routing table “updates” that address node movement that is modeled by node appearance and disappearance. The two procedures are based upon the low-cost `INHERITANCE` protocol. Therefore, we believe that FDR addresses the key system requirements (i–vii) simultaneously; it is scalable, establishes provable connectivity, is power efficient because network dynamics initiates few messages to update routing tables, enables near-optimal shortest-path message delivery, and its routing tables are compact.

A shorter version of this paper with the same title has been presented in [4]. Compared to the shorter paper, this paper contains more detailed explanation of the underlying FDR concepts with additional examples for better clarification. It also contains an extended set of experimental results. Finally, it contains proofs for all the presented theorems, which were omitted in the previous version due to space constraints.

## 2. Related Routing Protocols

Routing protocols for WAHNS can be categorized as proactive, reactive, or hybrid. Each node that uses a proactive protocol stores sufficient data about the network topology in its routing table. Routing tables are periodically updated such that any time a node needs to send a packet, the forwarding route is already known and can be immediately used [5–8]. Proactive protocols involve high overhead; size of routing tables does not scale well with network growth and routing table updates typically require some form of network flooding. Also, the cost of routing table maintenance rises with increased node mobility. One of the efforts aimed at reduction of network flooding due to change in network topology is Fisheye State Routing, which disseminates information in such a way that frequent updates are limited to node’s geographical vicinity while the frequency of updates is reduced for nodes at larger distances [9].

In reactive protocols, a node initiates route discovery only when it forwards a packet. Frequently used routes are cached. Reactive protocols are suitable for highly dynamic networks where node mobility renders the cost of proactive protocols prohibitive [10–12]. The topology of WAHNS is closely related to the relative positions of nodes. Geographically assisted protocols exploit this property by making localized decisions on forwarding routes. Greedy Perimeter Stateless Routing (GPSR) [2] reduces the network topology to a planar graph. It forwards messages in the direction of an intended receiver while avoiding areas without forwarding nodes—as a consequence, GPSR cannot guarantee successful message delivery (see Figure 1). An extension to GPSR deals with realistic connections that often do not correspond to typically assumed unit graph network representations [13]. LAR [14] and CarNet [15] use node locations and a grid to limit the search for new routes. The main drawback of reactive protocols is increased message latency and traffic overhead due to route discovery for each noncached route.

Hybrid protocols leverage on the advantages of both proactive and reactive routing schemes. Zonal protocols use proactive routing within a zone of limited scope and reactive routing on a global level [16, 17]. DDR divides a network into nonoverlapping zones and uses zone identifiers to effectively forward messages between zones [18]. Zonal protocols fall into the class of hierarchical protocols since a single node contains only limited scope information. LANMAR [19] identifies logical subnets and assigns IP-like addresses to them. Another important class of protocols examines the quality of a link or multiple links in order to deliver messages more reliably [20], with less complexity [21], or improved performance [22].

Some of the most popular current research direction for protocols in WAHNS include techniques for identification of connected dominating set for formation of a virtual backbone for dissemination of updating information for routing tables [23–25], predictive caching strategies [26], new variants of zonal routing [27], and exploration of dynamic addressing techniques [28].

A related class of routing algorithms is clustering-based protocols. In such algorithms, nodes are grouped into clusters such that for each cluster there is an elected node that collects, processes, and forwards data from all the nodes within its cluster to a base station. If compared by a routing criterion, these algorithms are similar to zone routing algorithms. This class of routing algorithms combines network layer with a data layer to achieve flexibility bandwidth and resource allocation, and improved power control. Algorithms from this class are distinguished among each other by the way a cluster is formed and the way a central cluster node is elected. One of the first in this class of algorithms is Low-Energy Adaptive Clustering Hierarchy (LEACH), which changed the premise how an elected node in a cluster has to be fixed [29]. LEACH-C is a centralized version of LEACH where a base station utilizes its knowledge about a network in order to optimize clusters and elected nodes [30]. Power Efficient Gathering in Sensor Information Systems (PEGASIS) enhances collaboration among nodes within a cluster and thus improves network operation lifetime [31, 32]. Base Station Controlled Dynamic Clustering Protocol (BCDCP) utilizes a high-energy base station to set up clusters and routing paths, perform randomized rotation of cluster heads, and carry out other energy-intensive tasks [33]. Among more recent approaches is Cluster-Chain Routing Protocol (CCRP), which introduces adaptive power adjustment strategy in order to take into account more realistic wireless network connections [34].

FDR is a proactive hybrid non-hierarchical (“flat”) protocol. In addition, FDR is fully distributed; no node needs directions from a centralized location (base station) in order to make routing decisions. At each node, FDR stores and updates only information that is necessary to make routing decisions at that node. Thus, FDR does not store or update the entire network topology for each node, which is required for other “flat” routing protocols. Simultaneously, it provides each node with the ability to forward messages to any part of the network. Size of FDR tables and update cost is comparable to hierarchical protocols, but FDR avoids burdening certain nodes with routing all messages from/to their assigned zones. In addition, FDR is resilient to irregularities of nodes’ communication radius [13, 35, 36]. Since FDR uses network topology to derive routing tables, these irregularities affect only the shape of the routing zones. As a key result, FDR addresses well the key system requirements (i–vii).

### 3. FDR—Preliminaries

In this section, we introduce a set of assumptions and basic definitions. First, we constrain geographically the considered class of networks to a limited area of arbitrary shape.

We refer to this area as the “network field”. A set of  $N$  nodes  $\mathcal{N} = \{n_1, \dots, n_N\}$  is distributed within the network field. We assume that each node is aware of its location. This can be achieved either using a global positioning system or a location discovery algorithm [37]. Two nodes can communicate only if the Euclidean distance between them is smaller than their communication range and there are no obstacles to their communication. Communicating nodes are called “neighbors”. For brevity, we adopt that the communication range of all nodes in the network is a constant,  $r$ . This assumption is strict, however, the proposed algorithms tolerate variability of  $r$  within the network as well as for a single node over longer periods of time.

We model the dynamics of network’s topology using two atomic events: “appearance” and “disappearance” of a node in/from the communication range of another node. Using these two events, we model node motion, creation or destruction of a node within the network field, and communication obstacles in motion. We do not impose any constraints on the frequency of these events, though their frequency may affect adversely the routing efficiency of the system. A node moving fast within the network field may be disconnected from the nodes in the network other than its immediate neighbors, until it reduces speed of motion. We adopt the Random Walk mobility model [38].

From the viewpoint of a single node  $n_i$ , the network field is tiled into “routing zones”  $\mathcal{Z}^i = \{z_1^i, \dots, z_{Z^i}^i\}$ . Each set of routing zones is node specific. Each zone in  $\mathcal{Z}^i$  is a polygon of arbitrary shape with one corner positioned at  $n_i$ . Each zone in  $\mathcal{Z}^i$  is assigned exactly one neighbor to  $n_i$ . We denote  $|\mathcal{Z}^i|$  as the cardinality of the set  $\mathcal{Z}^i$ .

*Definition 1 (Routing Neighbor).* Exactly one neighbor to  $n_i$  is assigned to each of its routing zones. We define such a neighbor as a routing neighbor.

Each routing zone is defined with up to two “borders”. Each border is defined using a set of straight chain-connected “border line segments”, whereas each border line segment (abbr., line) connects a pair of “border points”. In the general case, border lines could be represented using polynomials of arbitrary degree. In order to reduce the complexity of calculations, we adopted the border representation with a polynomial of the first degree. A zone is defined using two borders that originate at  $n_i$  and intersect as a final point the border of the network field. The field border connects borders’ ends to form a single polygon. In a less frequent case, a routing zone does not extend to the border of the network field. (In our experiments, the frequency of occurrence of such cases ranges approximately from 0.0001% to 0.001%.) Such a case can occur in a network with large areas that do not contain any nodes. In such case, a routing zone is described using a single border which starts and ends at  $n_i$ . Since this type of a routing zone occurs infrequently, throughout this paper, we refer to routing zones defined using two borders.

FDR supports two fundamental message delivery mechanisms: location and name based. In case a source node  $n_i$  knows the geographic location of the message recipient

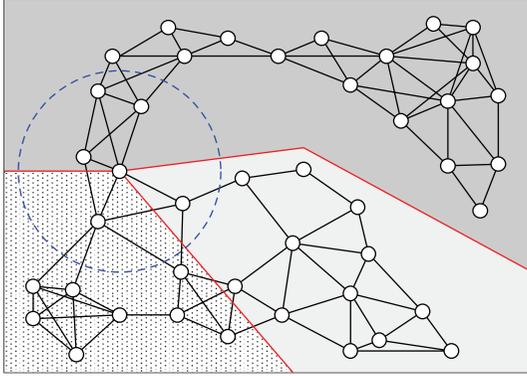


FIGURE 2: An example of a network field divided into three routing zones for node  $s$ . Each zone is assigned to respective neighbor nodes  $a$ ,  $b$ , and  $c$  of  $s$ . Routing zones are defined using points  $x_1$ ,  $x_2$ ,  $x_3$ , and  $x_4$ .

$n_j$ , it finds the routing zone that contains  $n_j$  and sends the message to the routing neighbor assigned to that zone. Here, we assume that all traffic in the network conforms to this model. FDR can be adjusted to support name-based message delivery as well. Next, the source node must first discover the geographic position of the destination node and then forward the message using the location-based delivery process. There are several simple protocols that can be used to announce the position of a node to the remainder of the network. Detailed analysis of name-based message delivery protocols is beyond the scope of this paper.

An example of a WAHN is shown in Figure 2. We consider the routing zones built from the viewpoint of node  $s$ . Node  $s$  divides the network field into three routing zones. These zones are assigned to neighboring nodes  $a$ ,  $b$ , and  $c$ . The neighboring node  $a$  is assigned to the routing zone described with borders  $\{(s, x_1), (x_1, x_2)\}$ , and  $\{(s, x_3)\}$ . The neighboring node  $b$  is assigned to the routing zone described with borders  $\{(s, x_3)\}$  and  $\{(s, x_4)\}$ . The neighboring node  $c$  is assigned to the routing zone described with borders  $\{(s, x_4)\}$  and  $\{(s, x_1), (x_1, x_2)\}$ . Full description of each routing zone includes the border of the network. We assume that each node knows the defining points of the enclosing network field:  $y_1$ ,  $y_2$ ,  $y_3$ , and  $y_4$ . Thus, the routing table for each node contains only information about the tiling of the network field into zones.

#### 4. Routing Table Initialization

In this section, we describe how nodes initialize their routing tables using FDR. During initialization, each node divides the network field into routing zones and assigns a routing neighbor to each routing zone. The construction process relies on several key observations related to network topology and connectivity.

**Definition 2 (Essential Node).** If the shortest path from a source node  $n_i$  to a destination node  $n_j$  leads exclusively via one neighbor  $n_k$  of  $n_i$ , then  $n_j$  is a node essential to  $n_i$ .

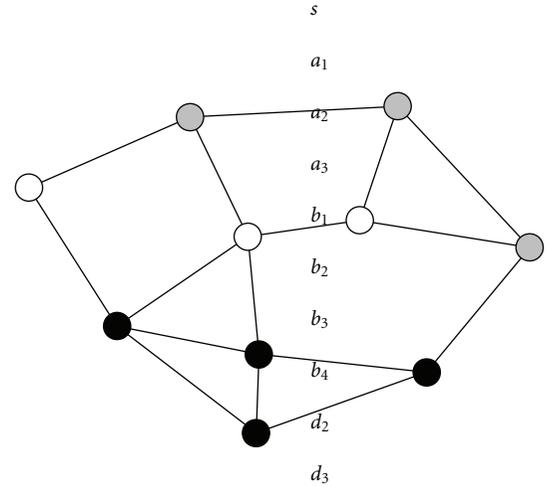


FIGURE 3: An example of a network used to demonstrate why a network field is divided by essential nodes.

**Definition 3 (Essential Neighbor).** Let  $n_j$  be an essential node to  $n_i$ . A neighbor  $n_k$  of  $n_i$ , which is the first hop on the shortest path from  $n_i$  to  $n_j$ , is referred to as an “essential neighbor” of  $n_i$ .

Consider the example in Figure 3. Node  $s$  is the source. Then, nodes  $a_2$  and  $a_3$  are essential nodes because the shortest path to these nodes leads only via node  $a_1$ . Similarly,  $b_2$ ,  $b_3$ , and  $b_4$  are essential nodes since the shortest path from  $s$  to any of them leads only via  $b_1$ . Nodes  $a_1$  and  $b_1$  are essential neighbors of  $s$ .

To achieve shortest-path routing, a message from a source node toward any essential node must be routed only via other essential nodes of the source. Consider the node  $b_3$  in the example in Figure 3. All messages from  $s$  to  $b_3$  have  $b_1$  as their first hop. Note that  $b_3$  is not an essential node of  $b_1$  because two shortest paths from  $b_1$  to  $b_3$  exist via  $b_2$  and  $b_4$ , respectively. However, both  $b_2$  and  $b_4$  are essential nodes to  $s$ . We formulate the above statements more formally.

**Theorem 4.** *The shortest path from a source node  $s$  toward any essential node routed via the same neighbor  $n$  leads only via nodes that are essential to  $s$ . (Proofs of all theorems are included in the appendix.)*

**Theorem 5.** *The shortest path from a source node  $s$  toward any nonessential node leads only via nonessential nodes.*

**4.1. Selection of Routing Neighbors.** When a source node is building its FDR table, it has to determine how many zones will divide the network field ( $|Z'|$ ) and select the corresponding routing neighbors. The key observation of this paper is that this process can be performed locally in a deterministic fashion. This means that any node in the field can determine the number of its routing zones by only observing the topology of its local neighborhood. Here we present the proof for this claim.

Based on Theorem 4, we know that the shortest path to all essential nodes leads only via essential nodes.

**Corollary 6.** *From Theorem 4, it follows that if there is an essential node  $e$  at distance  $\alpha$  hops from a source node  $s$ , where  $\alpha > 2$ , there exists an essential node at distance 2 from  $s$  via which the shortest path leads to  $e$ .*

**Corollary 7.** *From Theorem 5, it follows that if there is a nonessential node  $n$  at distance  $\beta$  from a source node  $s$ , where  $\beta > 2$ , then there exists a nonessential node at distance 2 from  $s$  via which the shortest path leads to  $n$ .*

From Corollaries 6 and 7, it follows that a node can determine which of its neighbors are essential and nonessential by observing the network topology at a distance of two hops from itself. In other words, a node can which the necessary of routing zones is necessary to cover the entire network by only observing neighboring network topology at distance of 2 hops from itself. This topology can be easily obtained for each node in a network if each node broadcasts a list of its neighbors.

We describe this process using an example in Figure 3. Node  $s$  broadcasts a message to its neighbors requesting that they send the list of their neighbors. Node  $a_1$  returns a list with nodes  $a_2$  and  $d_2$ , and  $b_1$  returns a list with nodes  $b_2$ ,  $b_4$ , and  $d_2$ . Node  $s$  concludes that  $a_2$  is only covered by node  $a_1$  and therefore it is essential. Similarly, nodes  $b_2$  and  $b_4$  are essential, while node  $d_2$  is covered by both neighbors. We denote  $d_2$  a don't-care node. In this case, both neighbors are essential. Thus,  $s$  creates two routing zones with nodes  $a_1$  and  $b_1$  assigned to each zone. A source node selects all essential neighbors and a subset of nonessential neighbors as routing neighbors such that all nodes in the network are covered.

**Definition 8 (Don't-care Node).** A node that can be assigned to either routing zone with preserved shortest path routing is a "don't-care node".

Algorithm 1 outlines the algorithm that identifies essential and nonessential neighbors and selects routing neighbors. The goal of the algorithm presented in Algorithm 1 is to select as few as possible nonessential neighbors that are assigned to routing zones. Since each routing neighbor corresponds to one routing zone, by minimizing this number, we heuristically aim at reducing the number of stored borders, that is, the storage requirement, at each node. This problem can be reduced to the constrained minimum sequence covering problem (CMSC), which is NP-hard [39]. CMSC can be defined as follows.

**Problem.** Constrained minimum sequence covering.

**Instance.** A finite sequence of symbols  $D = \{d_1, d_2, \dots, d_n\}$ ; a set of templates  $T = \{t_1, t_2, \dots, t_k\}$  such that each template  $t_i$  is formed by concatenating an arbitrary number of symbols from  $D$ ; sequence  $S$  formed by concatenation of symbols from  $D$  and integer  $I$ .

**Question.** Can  $S$  be covered by  $I$  instances of  $T$  such that no two templates overlap and  $I$  is minimized.

#### SELECT ROUTING NEIGHBORS

**Input:** source node  $n_i$ , set  $T_i$  of neighbors of  $n_i$

**Output:** set of routing neighbors  $R_i$

1.  $n_i$  requests lists  $L(i, k)$  of neighbors from all  $n_k \in T_i$
2. All  $n_k \in T_i$  return  $L(i, k)$ ;  $L_i = L_i \cup L(i, k)$
3. **for each** node  $x_l \in L_i$
4.   **if**  $x_l$  appears in exactly one list  $L(i, j)$
5.    **then**  $x_l$  is an essential node,  
          mark  $n_j$  as an essential neighbor
6. **add** all essential neighbors to  $R_i$
7. Mark all nodes covered by neighbors already in  $R_i$
8. **while** there are unmarked nodes
9.    **add** to  $R_i$  a nonessential neighbor  $n_j$  that  
          covers the largest number of unmarked nodes
10. mark all nodes covered by  $n_j$

ALGORITHM 1: Procedure that selects and assigns neighbors to routing zones.

If we consider node to correspond to a symbol, node connectivity to correspond to a concatenation of symbols into a sequence, and a selection of a neighbor that covers certain number of nodes to correspond to a template that covers the corresponding sequence of symbols, our problem is reduced to CMSC. To address this problem, we propose a particularly fast, but greedy heuristic. Note that the heuristic may not return an optimal solution in this context, however, in the next phase of building node's routing table, shortest-paths routing to all destinations can still be achieved.

**4.2. Nodes Sufficient to Build a Border.** The geography of a routing zone is dependent upon the positioning of the encompassed essential nodes. In the remainder of this paper, we refer to all nodes covered exclusively by a single routing neighbor as "essential nodes". Nodes covered by more than one routing neighbor are referred to as "don't-care nodes". In both cases, for node  $n_k$  to "cover" node  $n_j$  from the perspective of a source node  $n_i$  means that  $n_k$  is on the shortest path from  $n_i$  to  $n_j$ . If a source node identifies all essential nodes, it can identify all routing zones to achieve shortest-paths routing. Unfortunately, in order to identify all essential nodes, it appears that the source has to flood the network. As this cost is prohibitive, we propose a more effective solution.

In order to build borders between zones, it is not necessary for a source node to have knowledge of the entire network topology. Consider the example network in Figure 4. Source node  $s$  needs to build a border between two routing zones assigned to nodes  $a_1$  and  $b_1$  (a border line that originates in  $s$ ). Let us assume that  $s$  has two lists of nodes: (i)  $a_2$  and  $a_3$ ; and (ii)  $b_2$  and  $b_3$ . The nodes in the lists represent nodes that are the closest to the border between zones. They are sufficient to completely describe the border. Node  $s$  can ignore the positions and connections of all other essential nodes on either side of the border while constructing it.

We now present FDR's DISCOVERY protocol that identifies nodes necessary to build a border between two zones.

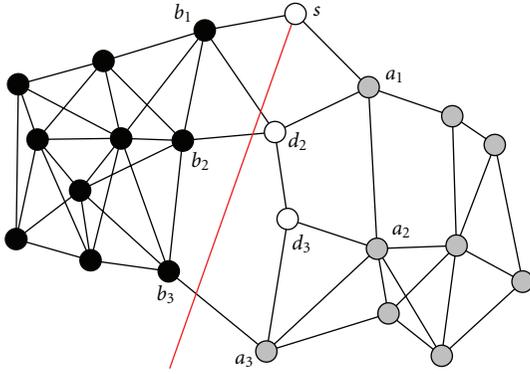


FIGURE 4: An example of a network used to demonstrate why it is sufficient to select only a subset of all essential nodes for the process of building borders.

The key idea behind this protocol is to send two messages along each side of the border. The messages carry the hop count from the source and a border side identifier (i.e., counterclockwise (CCW) or clockwise (CW)). This information enables nodes along the border to identify if they are essential or “don’t-care” nodes from source’s perspective. Source node  $s$  uses geographical location of routing neighbors pairs to determine which one carries CW and which one CCW type of a message. Note that in cases when  $s$  has only two routing neighbors (such as for node  $s$  in Figure 4), only two borders are created. When creating one border, the first neighbor is on CW side, the second one is on CCW side. When creating the other border, routing neighboring nodes switch roles so the first neighbor is on CCW side, and the second one is on CW side. We demonstrate the protocol using an exemplary network in Figure 4. Source node  $s$  identifies that  $a_1$  and  $b_1$  are its essential neighbors and sends a message to  $a_1$  and  $b_1$  requesting a list of essential nodes along each side of the border. In the message,  $s$  states that the border is in the CW direction for  $a_1$  and CCW direction for  $b_1$  with  $s$  as a reference node. It also states that node  $d_2$  is a “don’t-care” node. Node  $a_1$  identifies  $a_2$  as the closest node to the border with a hop count of 2, while  $b_1$  identifies  $b_2$ . They further request from  $a_2$  and  $b_2$  to return a list of nodes along the border. This request includes  $s$  as a reference node and respective orientations. Nodes  $a_1$  and  $b_1$  inform  $d_2$  that it is a “don’t-care” node with a hop count of 2;  $d_2$  announces this information to all its neighbors. Next, node  $b_2$  identifies and notifies  $b_3$  as the node closest to the border (in the CCW direction) with a hop count of 3;  $b_3$  acknowledges that it is at the end of the network field and returns a list to  $b_2$  that contains node  $b_3$ . Next,  $b_2$  appends itself to the list and sends it back to  $b_1$ , and  $b_1$  sends a list containing  $b_2$  and  $b_3$  to  $s$ . On the other hand,  $a_2$  identifies and notifies  $d_3$  as the one closest to the border (in the CW direction) with a hop count of 3;  $d_3$  receives the broadcast message from  $d_2$  that  $d_2$  is a “don’t-care” node with a hop count of 2. Next, it receives the message from  $a_2$  stating that its hop count from the source node is 3. Since there exists a path via  $d_2$  with a hop count of 3,  $d_3$  concludes that it is a “don’t-care” node.

#### DISCOVERY

**Input:** current node  $x_i$ , number of hops  $\hat{h}$

**Output:** list of essential nodes  $L_i$  from  $x_i$  to the end of network field

1. **if**  $x_i$  is don’t-care
2.  $x_i$  announces it is don’t-care to all its neighbors
3. **return** empty  $L_i$
4. **if**  $x_i$  is at the end of the network field
5. **return**  $L_i = x_i$
6. Sort neighbors of  $x_i$ ,  $\{n_1, \dots, n_k\}$ , into a list  $N_i$ ,
7. the closest node to the border is at the head of  $N_i$
8. **for each** neighbor  $n_j \in N_i$
9.  $L_i = \text{call DISCOVERY}(n_j, \hat{h} + 1)$
10. **if**  $L_i \neq \text{empty}$
11. **prepend**  $x_i$  to  $L_i$ , that is,  $L_i = x_i \| L_i$
11. **return**  $L_i$
12. **return** empty  $L_i$

ALGORITHM 2: Pseudocode for FDR’s DISCOVERY protocol.

It announces this information to all its neighbors. Similar to  $b_2$ ,  $a_2$  identifies and notifies  $a_3$  as the closest to the border in the CW direction that is not “don’t-care”. Soon, it receives a list from  $a_3$  that contains one node,  $a_3$ ;  $a_2$  appends itself to the list, sends it back to  $a_1$ , and  $a_1$  sends the list containing  $a_2$  and  $a_3$  to  $s$ . Node  $s$  receives the list that contains nodes  $a_2$  and  $a_3$  on one side of the border, and  $b_2$  and  $b_3$  on the other side of the border.

The Pseudocode for FDR’s DISCOVERY protocol is presented in Algorithm 2. We present only a part of the algorithm initiated by source’s neighbors. This part of the algorithm is recursive. Each node can receive two types of messages from their neighbors: a don’t-care announcement and a request for the list of essential nodes along the border. When a request for the list is received, node  $x_i$  first determines if it is a don’t-care node. This can happen if  $x_i$  already received a *don’t-care* announcement with smaller or equal hop count, or if it received another request from the opposite side of the border with the same hop count. In such a case,  $x_i$  announces to its neighbors that it is a *don’t-care* node. Otherwise,  $x_i$  sorts its neighbors such that the closest node to the border is at the head of the sorted list. Since the border is not placed yet,  $x_i$  uses the reference point and a direction (CCW or CW) to determine the ordering. Then,  $x_i$  recursively requests the list of essential nodes from its neighbors in the sorted order. The bottom of this recursive procedure is when the end of the network field is reached. Pseudocode in Algorithm 2 is presented in the form of a function that handles the requests for the list of essential nodes along the border. The function returns a list that is empty if a node is a *don’t-care* node.

In order to determine zones’ borders, we must identify all nodes along the border up to the point when a border intersects with network’s boundary. A node recognizes such a situation when its communication range is intersecting with this boundary. In that case, the node bottoms the recursive procedure by performing the step 5 in Algorithm 2.

Each node, when added to the network, is informed about its boundary. We do not make any assumptions about the shape of the finite network field. In our tests, we assumed a rectangular field.

DISCOVERY attempts to find all nodes necessary for creation of zones' borders. Due to the fact that this algorithm is localized, sometimes it can produce borders where shortest path routing is not preserved. We formalize this observation in the following Theorem.

**Theorem 9.** DISCOVERY can yield suboptimal results in terms of shortest path routing.

Although DISCOVERY can produce suboptimal results, it is important to note that the connectivity of nodes is preserved. This fact is very important for guaranteed message delivery.

**Theorem 10.** DISCOVERY maintains node connectivity.

An important property of any routing scheme is its ability to forward messages without cyclic paths. Cyclic paths can result in buffer overflows, excessive energy bill, and dead locks; clearly, they contribute to the overall inefficiency of the network.

**Theorem 11.** FDR is an acyclic routing scheme.

**4.3. Building Borders.** The source node initiates the procedure for building borders upon receipt of the two lists of essential nodes (CW and CCW from the border) from the associated pair of routing neighbors. The goal of this procedure is to create a chain of border line segments that separates two routing zones such that node motion is maximally tolerated. This is accomplished by placing the border equidistantly from closest essential nodes in each zone. We describe this procedure using an example in Figure 5. The source node  $s$  considers essential nodes  $a_2, a_3, a_4,$  and  $a_5$  along one side of the border (CW) and  $b_2, b_3,$  and  $b_4$  along the other (CCW). Node  $s$  uses one pointer for both received lists. By iteratively advancing these pointers,  $s$  builds the border. The construction process involves the following steps:

- (i) Set pointers  $\hat{p}_{CW} = a_2$  and  $\hat{p}_{CCW} = b_2$  to the corresponding beginning of each list; set the reference point  $\hat{r} = s$ ; compute the initial minimal angle  $\hat{\theta} = \angle(\hat{p}_{CW}, \hat{r}, \hat{p}_{CCW})$  — at first  $\hat{\theta} > 0$ .
- (ii) Iteratively advance both pointers and record minimal  $\hat{\theta}$  and corresponding  $\hat{p}_{CW}$  and  $\hat{p}_{CCW}$ ; repeat until  $\theta \leq 0$ . This situation occurs after advancing  $\hat{p}_{CW}$  from  $a_3$  to  $a_4$ .
- (iii) Insert the first border point  $c_1$  at half distance from the previous valid pointers  $a_3$  and  $b_3$  where  $\hat{\theta} = \angle(a_3, \hat{r}, b_3) > 0$ ; set the reference point  $\hat{r} = c_1$ ; compute  $\hat{\theta}$ ; Reset pointers  $\hat{p}_{CW} = a_3$  and  $\hat{p}_{CCW} = b_3$ .
- (iv) Iteratively advance both pointers until ends of both lists are reached, that is,  $\hat{p}_{CW} = a_5$  and  $\hat{p}_{CCW} = b_4$ .

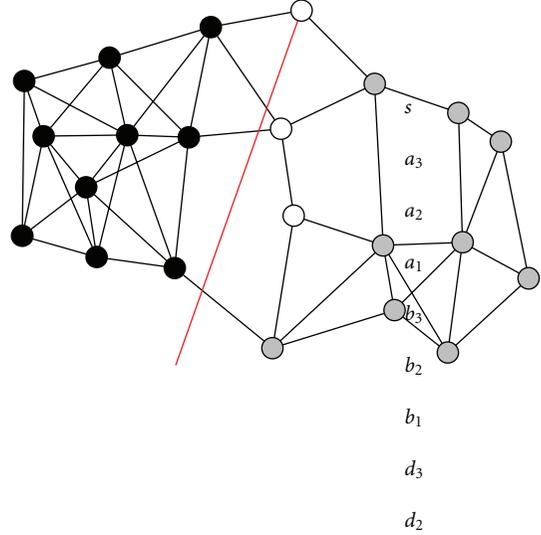


FIGURE 5: A network example that illustrates the procedure for building borders.

- (v) Insert the last border point  $c_2$  at half-distance from the current pointers  $a_5$  and  $b_4$ .

The Pseudocode of this procedure is shown in Algorithm 3. The procedure does not attempt to insert the minimal number of border points in order to address the (vii) criterion. We have opted for a strategy where a border point is inserted equidistantly between two conflicting pointers. This enables less frequent updates of borders since changes in node positions have the least effect on borders. As most of the borders are composed of only a single border point, the overall increase in the average routing table size is negligible.

**4.4. Routing Table Inheritance.** Messages sent throughout the network with a purpose to discover its topology and establish routing tables at specific nodes are considered a routing overhead. In order to reduce this overhead, we propose an INHERITANCE protocol that builds a routing table at a single node by analyzing the already computed routing tables of the node's neighbors. In most cases, routing zones of two routing neighbors overlap, that is, at least at one location their zones' borders intersect. The overlapping area contains nodes that can be routed via either of the two routing neighbors. It is necessary to determine how to divide that area to preserve near-optimal shortest path routing in the expected case. The proposed INHERITANCE protocol estimates the required hop counts along the intersecting borders to determine equidistant points between them. We consider these points as border points of the new border. Thus, the border is constructed as an estimate based upon neighbor's routing tables and the assumption that nodes are placed with uniform probability within the network field. In case this probability map is non-uniform but relatively static (e.g., topology of a city), the algorithm can be adjusted to this case in a straightforward manner.

**BUILDBORDERS**

**Input:**  $L_{CCW}$  and  $L_{CW}$ —lists of essential nodes,  $\hat{p}_{CCW}$  and  $\hat{p}_{CW}$ —node pointers, source node  $s$

**Output:**  $C$ —list of border points

1. Set reference point  $\hat{r} = s$
2. Set  $\hat{p}_{CCW}$  and  $\hat{p}_{CW}$  to the node closest to  $s$  in  $L_{CCW}$  and  $L_{CW}$ , respectively
3. Last valid pair of pointers  $\{V_1, V_2\} = \{\hat{p}_{CCW}, \hat{p}_{CW}\}$
4. Compute  $\hat{\theta} = \angle(\hat{p}_{CW}, \hat{r}, \hat{p}_{CCW})$
5. **repeat**
6.   **if**  $\|\hat{p}_{CCW} - \hat{r}\| < \|\hat{p}_{CW} - \hat{r}\|$  advance  $\hat{p}_{CCW}$
7.   **else** advance  $\hat{p}_{CW}$
8.   Compute  $\theta = \angle(\hat{p}_{CW}, \hat{r}, \hat{p}_{CCW})$
9.   **if**  $\theta < 0$
10.    **add** point  $c_i$  to  $C$ ,  $c_i$  is on the line  $\overline{V_1, V_2}$  and  $\|c_i - V_1\| = \|c_i - V_2\|$
11.    Place border between  $\hat{r}$  and  $c_i$ ; set  $\hat{r} = c_i$ ;
12.     $\hat{\theta} = \angle(V_1, \hat{r}, V_2)$ ;  $\{\hat{p}_{CCW}, \hat{p}_{CW}\} = \{V_1, V_2\}$
13.    **else if**  $\theta < \hat{\theta}$
14.     $\hat{\theta} = \theta$ ;  $\{V_1, V_2\} = \{\hat{p}_{CCW}, \hat{p}_{CW}\}$
15. **until** both  $\hat{p}_{CCW}$  and  $\hat{p}_{CW}$  reach ends of  $L_{CCW}$  and  $L_{CW}$ , respectively

ALGORITHM 3: Pseudocode of the procedure that builds borders between two routing zones of a source node. Operator  $\|a - b\|$  returns the Euclidean distance between two points  $a$  and  $b$ .

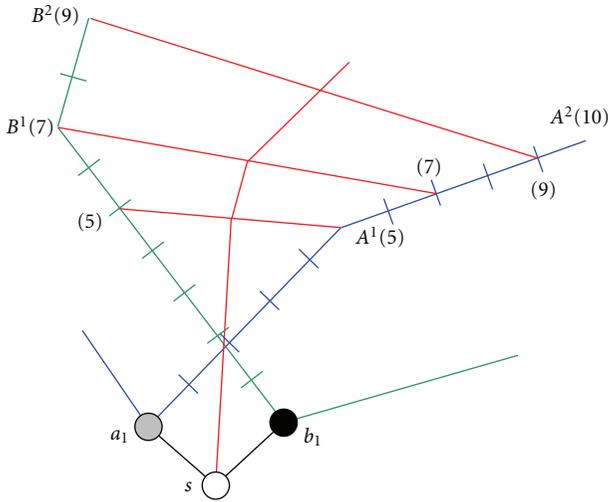


FIGURE 6: An example of border placement between two routing zones of a source node based on the routing zones of source's routing neighbors.

We use the example in Figure 6 to describe the Inheritance protocol. Assume that nodes  $a_1$  and  $b_1$  are routing neighbors of a source node  $s$ , both  $a_1$  and  $b_1$  have already determined their routing tables via the DISCOVERY protocol. Here, we make an assumption that the routing table for node  $s$  contains an additional information about each border point  $c_i$ :  $\phi_i = \min\{h(s, V_1), h(s, V_2)\}$ , where function  $h(a, b)$  returns the hop count from node  $a$  to node  $b$  and  $V_1$  and  $V_2$  are essential nodes that determined the location of  $c_i$

**INHERITANCE**

**Input:**  $C_{CCW}$ ,  $C_{CW}$ —lists of border points with the hop count information for each point, source node  $s$

**Output:**  $C$ —list of points for the inherited border

1. **for each** border line  $l = \overline{c_i, c_{i+1}}$  in  $C_{CCW}$  and  $C_{CW}$
2.   insert  $\phi_{i+1} - \phi_i - 1 = P - 1$  pseudo border points  $p_0 = c_i, p_1 \dots p_{P-1}, p_P = c_{i+1}$  such that  $(\forall j) p_j \in l$  and  $\|p_{j+1} - p_j\| = \|\|p_j - p_{j-1}\|$
3. **for each**  $p_j$
4.   compute its hop count estimate:  $\phi_j$
5. **for each** border point  $c_i \in C_{CCW} \cup C_{CW}$
6.   **if**  $(\exists p_j) \phi_j = \phi_i$  and  $p_j$  is at opposing border to  $c_i$
7.    **add** point  $t$  to  $C$ , where  $t$  is on  $\overline{c_i, p_j}$  and  $\|c_i - t\| = \|p_j - t\|$

ALGORITHM 4: Pseudocode of the procedure that builds a border between routing zones of two adjacent routing neighbors of a source node.

as in step 9 of the Pseudocode in Algorithm 3. Figure 6 illustrates the  $\phi$ -parameter in parentheses next to the name of a border point. The INHERITANCE protocol executes the following simple steps:

- (i) divide each intersecting border line into unit subsegments; the unit length equals to  $\|c_{i+1} - c_i\| / (\phi_{i+1} - \phi_i)$ , where  $c_i$  and  $c_{i+1}$  are two consecutive border points;
- (ii) connect each border point with a mirror point at the other border; a mirror point of a border point is a point with the same estimated hop count at the opposing border line;
- (iii) insert points for the new border equidistantly from the connector lines.

These steps assume a uniform probability density function  $p(x, y)$  of nodes in the network field. In case  $p(x, y)$  is not uniform, a new border point  $\tau$  is placed such that  $\int_{c_a}^{\tau} p(x, y) dx dy = \int_{\tau}^{c_b} p(x, y) dx dy$ , where  $c_a$  is a point on one border and  $c_b$  is its corresponding mirror point. In this case, unit segments are computed similarly, by integrating  $p()$  over the border line. For simplicity of presentation, in this paper we assume that  $p()$  is uniform. Pseudocode for the INHERITANCE protocol is presented in Algorithm 4.

At last, we analyze two specific situations. When neighbors' two border zones do not overlap, then INHERITANCE uses the procedure BUILDBORDERS from Algorithm 3 to build a new border in between the two nonintersecting borders. The two lists of border points that correspond to the nonintersecting borders are fed as the  $L_{CCW}$  and  $L_{CW}$  input to BUILDBORDERS. Finally, a node that has used INHERITANCE to derive its routing table can fine-tune its borders for destinations that are frequently contacted and are located near the border. The fine-tuning can be performed by sending a test message via each of the candidate routing neighbors. By learning the hop count that the test messages had when reaching their destination, the source can readjust the border between the two routing neighbors.

As DISCOVERY, INHERITANCE can produce zones where shortest path routing is not preserved. This means that some routes will have extra hops in message delivery, which represents an undesirable overhead in communication. It is important to stress that such an overhead should be minimized because in WAHN networks one of the critical resources is typically nodes' power consumption. INHERITANCE does preserve connectivity (guaranteed message delivery) and acyclic routing. We formalize the above observation in the following theorems.

**Theorem 12.** INHERITANCE can yield suboptimal results in terms of shortest path routing.

**Theorem 13.** INHERITANCE preserves connectivity.

**Theorem 14.** INHERITANCE preserves acyclic routing.

**4.5. Synchronizing the Initialization.** We now present an efficient protocol, SYNCHINIT, for distributed and localized network initialization that combines routing table creation via the DISCOVERY and INHERITANCE protocols. The prerequisite condition for applying INHERITANCE is that routing neighbors of the source node have already initialized their routing tables. We can assess the following optimization goal. Knowing the network topology, select minimal number of nodes whose routing tables are initialized via DISCOVERY, such that remaining nodes in the network can initialize their routing tables via INHERITANCE.

Consider a network with  $N$  nodes. We form a collection  $S$  of  $N$  sets as follows. For each node  $n_i$ , create a set  $S_i = \{n_i\}$ ; then add to  $S_i$  all nodes for which  $n_i$  is their routing neighbor. We can restate the optimization goal as the following. Select a subset  $B$  of  $\mathcal{S}$  such that each node belongs to at least one of the selected sets and the cardinality of  $B$ ,  $|B| < K$ , where  $K$  is a given integer. This problem is equivalent to the MINIMUM SET COVER problem, which is NP-hard. This definition of the problem refers to a situation where subsets can be chosen centrally. In our case, the selection process is done in a localized manner. To address the distributed variant of this problem, we propose an effective heuristic with emphasis on protocol simplicity.

The key idea behind SYNCHINIT is to overlay the network field with a regular grid and initialize nodes closest to grid intersections via DISCOVERY. The remaining nodes are then initialized via INHERITANCE if their prerequisite conditions are satisfied. Next, if there still exist uninitialized nodes, SYNCHINIT increases the grid density and repeats the previous two steps. These two steps can be iterated until all nodes are initialized. Alternatively, SYNCHINIT can repeat fixed number of iterations and then force all uninitialized nodes to perform DISCOVERY to complete network initialization. We outline the key steps of SYNCHINIT using an exemplary network in Figure 7.

- (i) each node considers a virtual regular grid laid over the network field; the grid is the same for all nodes in the network; the shortest distance in the grid equals  $2r$ ;

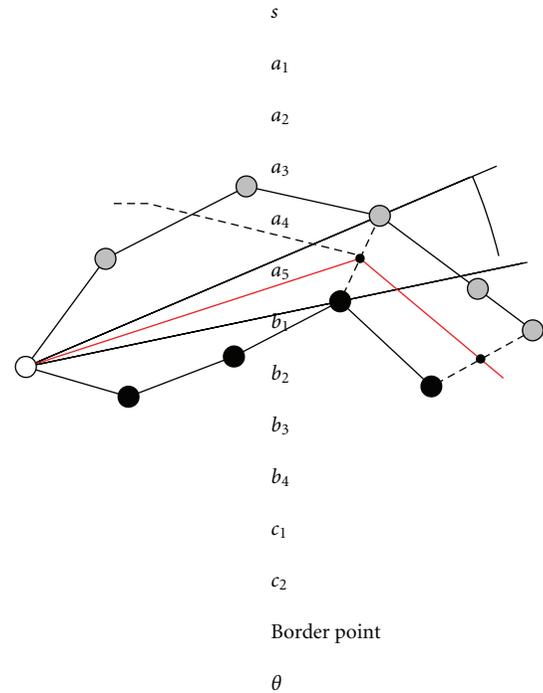


FIGURE 7: An example of an ad hoc wireless network that illustrates the procedure for distributed and localized network initialization.

- (ii) nodes closest to intersection points of the grid initialize their routing table via DISCOVERY; such nodes are  $s, a_3, b_1, b_2, b_3,$  and  $d_2$ ;
- (iii) nodes whose routing neighbors have already initialized their routing tables use INHERITANCE to initialize their routing tables; nodes  $b_4$  and  $d_3$  have routing neighbors  $b_1$  and  $b_3$ , and  $a_3$  and  $d_2$ , respectively, that have already initialized routing tables; routing tables can be computed partially, when two angularly adjacent routing neighbors compute their adequate zones, the source can proceed with INHERITANCE to compute its border;
- (iv) double the grid density;
- (v) out of all remaining uninitialized nodes, nodes  $a_1$  and  $a_2$  are the closest to the new grid points; thus, they initialize their routing tables via DISCOVERY.

*Remark 15.* only nodes  $b_4$  and  $d_2$  have used INHERITANCE; this is a consequence of a denser grid; here, the number of nodes that used INHERITANCE is lower than in most practical cases when the network is typically denser.

Pseudocode for this protocol is illustrated in Algorithm 5. Finally, we evaluate two key trade-offs related to SYNCHINIT. First, a denser grid causes more nodes to initiate DISCOVERY. Then, network initialization converges faster at the expense of sending more messages. Second, we initiate INHERITANCEQ times for each iteration of SYNCHINIT (steps 6 through 8). Nodes that have built routing tables after DISCOVERY can use their routing table to initiate

```

SYNCHINIT
Input: Node  $n$ , comm. range  $r$ , network field  $\mathcal{F}$ 
Output: Initialized routing table of  $n$ 
1. Overlay  $\mathcal{F}$  using a regular grid  $\mathcal{G}$ ; the shortest
   distance between two points in  $\mathcal{G}$  is  $2r$ 
2. Find the closest grid point  $g$ 
3. repeat  $R$  times
4.   if  $n$  is the closest uninitialized node to  $g$ 
   and  $\|n - g\| < r/2$ 
5.     return DISCOVERY ( $n$ )
6.   repeat  $Q$  times
7.     if routing neighbors of  $n$  are initialized
8.       return INHERITANCE ( $n$ )
9.   Double the grid density
10. return DISCOVERY ( $n$ )

```

ALGORITHM 5: Pseudocode for distributed initialization of a routing table. In step 4, if there is a tie in terms of distance, nodes are ordered clockwise north-first to break the tie. In our experiments, we limited  $R = 3$  and  $Q = 2$ .

INHERITANCE in other nodes. However, this is not feasible if nodes have a mutual relationship of being routing neighbors to each other. Such nodes cannot use INHERITANCE to build their tables; thus, they wait until the grid density is increased to proceed with their initialization.

## 5. Support for Network Dynamics

In this section, we propose protocols that can efficiently cope with the management of nodes' routing tables in the case of a dynamic network topology. We do not bound the space of possible changes in the network. FDR supports introduction of new and failure of existing nodes and/or obstacles to communication in the network field. It also manages the case when both nodes and/or obstacles are in motion. The two atomic events that can model any of these cases are "appearance" and "disappearance" of a node in/from the communication range of another node. We denote these two events as "motion" events. When a motion event occurs, certain nodes may become detached from all but the neighboring nodes in the network because their routing tables are nonexistent or invalid. This condition may last until the node seizes its activity and some or all routing tables of its neighboring nodes are updated. The expectation for this condition is reduced by increasing the communication radius  $r$  of each node. We assume that  $r$  is chosen such that motion events occur relatively infrequently.

From the perspective of a specific source node, most of the changes in the network do not have any impact on its routing table. If a motion event occurs far from its routing table's borders, usually it does not affect source's routing table. Consider an example shown in Figure 8. If a node  $b_5$  changes its position as indicated by the dashed arrow, the routing table of  $s$  is not affected. As a network field widens, nodes' routing zones become larger. For a larger routing zone, it is less likely that an arbitrary motion event in the

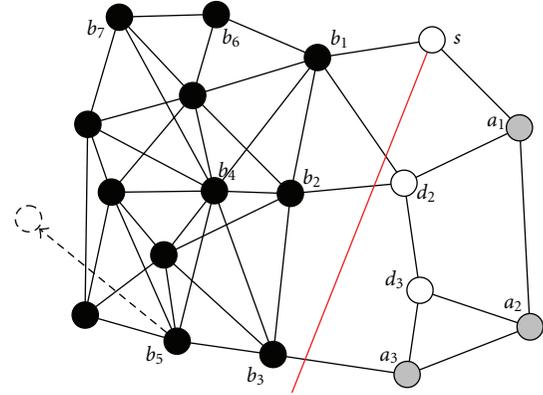


FIGURE 8: An example of a WAhN that illustrates how node motion does not affect the routing table of a distant node.

network results in an update of its borders. Changes in one part of the network have a smaller expected effect on nodes located far from the place where the motion event occurred. This property enables FDR to be a highly scalable routing scheme when dealing with network dynamics.

The fact that FDR reduces any network topology to routing zones and essential neighbors enables inherent tolerance to small changes to the topology. If two nodes  $n_i$  and  $n_j$  are not essential neighbors to each other, disappearance of  $n_i$  from the communication range of any other node in the network does not change the routing table of  $n_j$ . If the appearance of  $n_i$  in the communication range of any node in the network does not establish a new essential neighbor relationship between  $n_i$  and  $n_j$ , it does not affect the routing table of  $n_j$ . It is important that nodes observe such cases where they do not need to update their tables. This reduces communication overhead. For example, in proactive routing protocols the entire network would have to be flooded with messages about the topology change such that routing tables across the network can be updated. FDR enables situations where network communication is avoided almost entirely even if network topology has changed. We formalize this observation in the following theorem.

**Theorem 16.** *For a given node  $n_i$ , appearance or disappearance of a neighboring node  $n_j$  does not affect the routing table of  $n_i$  if and only if  $n_j$  is not a routing neighbor to  $n_i$ . We refer to such a motion event as "unilaterally tolerable".*

**5.1. Node Appearance and Disappearance.** Node appearance is a motion event after which two nodes are able to directly communicate. This event can occur due to introduction of a new node in the network, node motion, or motion of a communication obstacle. The objective for the protocol that maintains nodes' routing tables is to identify whether any tables within the network require an update of their routing rules and if yes, to perform the updates in the least expensive fashion. The proposed protocol relies upon certain key observations about how motion events alter the network topology.

```

MOTIONUPDATE
Input: Source node  $n$ , existing routing table  $\tau_0$  of  $n$ , node  $m$ 
appears in its communication range
Output: Routing table of  $n$ 
1. Exchange geographic location data with  $m$ 
2.  $L = \text{SELECTROUTINGNEIGHBORS}(n)$ 
3. if  $m \in L$ 
4. Routing table  $\tau = \text{DISCOVERY}(n)$ 
5. if  $\tau_0 \neq \tau$ 
6.   for each neighbor  $p$  to  $n$ 
7.      $e_p = \text{PRNG}()$ , send  $\{\tau, e_p\}$  to  $p$ 
8.   return  $\tau$ 
9. return  $\tau_0$ 
MOTIONPROPAGATE
Input: Motion event  $e$ , source node  $n$ , its neighbor  $m$ , and
their routing tables  $\tau_n^0$  and  $\tau_m$ , respectively
Output: Routing table of  $n$ 
1. Node  $n$  receives  $\{\tau_m, e\}$  from  $m$ 
2. if  $m$  is a routing neighbor to  $n$  and
    $n$  has not yet updated its routing table due to  $e$  and
    $\tau_m$  affects at least one of the borders in  $\tau_n^0$ 
3.  $\tau_n^1 = \text{INHERITANCE}(n)$ 
4. if  $\tau_n^1 \neq \tau_n^0$  then send  $\{\tau_n^1, e\}$  to all neighbors
5. return  $\tau_n^1$ 
6. return  $\tau_n^0$ 

```

ALGORITHM 6: Pseudocode for routing table update upon a motion event. Function PRNG() returns a pseudorandom number.

When two nodes,  $n$  and  $m$ , establish communication upon a motion event, each of them executes the MOTIONUPDATE procedure outlined in Algorithm 6. After learning each others' geographical locations, each node computes its list of routing neighbors as described in Algorithm 1. Note that  $n$  includes  $m$  in its list of routing neighbors *only* if  $m$  is an essential neighbor to  $n$ ; otherwise,  $n$  forces the selection process not to include  $m$ . In case  $m$  is a routing neighbor, it changes the network topology for  $n$  sufficiently so that  $n$  needs to update its table via the DISCOVERY protocol. If there is any change to its routing table borders,  $n$  must propagate these changes to its neighbors. Thus,  $n$  sends its routing table to all its neighbors as well as a random number  $e_p$  distinct for each neighbor  $p$ . The purpose of  $e_p$  is to force the propagation within the network in the opposite direction from  $n$ . A random number of sufficiently high entropy should be distinct for this motion event with high likelihood and can be used as its identifier.

A node  $n$  that receives the propagation packet from its neighbor  $m$  executes the MOTIONPROPAGATE procedure. It ignores the package if  $m$  is not its routing neighbor or if the received routing table actually does no affect any of the borders in the existing routing table of  $n$ . Otherwise, it recomputes its routing table based on the INHERITANCE protocol. Note that only borders affected by the propagation package are recomputed. If there are any changes to the borders of the routing table of  $n$ , node  $n$  must propagate these changes to its neighbors. The propagation package sent by  $n$  includes the identifier of the original motion event.

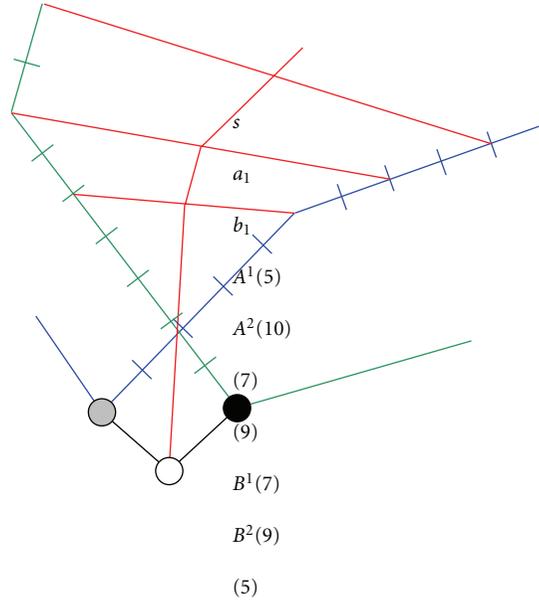


FIGURE 9: An example of a motion event changing profoundly the network topology and triggering the DISCOVERY protocol for routing table updates.

A motion event that triggers propagation is illustrated in Figure 9. A path of length  $\alpha$  hops connects nodes  $a$  and  $b$ , where  $\alpha > 2$ . A new node  $c$  establishes a path between  $a$  and  $b$  equal to two hops. Thus, both  $a$  and  $b$  are new essential neighbors to  $c$ . Since routing tables of  $a$  and  $b$  are affected by the appearance of  $c$ , node  $c$  initiates DISCOVERY at all three involved nodes.

The main property of the updating protocols is that DISCOVERY is performed only by the nodes directly affected by the motion event. In case there are any changes to the routing tables, they are propagated using the localized INHERITANCE protocol. In addition, the propagation typically occurs in the immediate neighborhood of the motion event. Only events that profoundly change the topology of the network (e.g., establish a ring as in Figure 9) initiate network-wide propagation. The expectation is that motion events in dense networks should trigger routing table updates significantly less frequently than in sparser networks. Overly sparse networks should experience common wide-spread propagation, a mere necessity for maintaining network's fragile connectivity. Finally, protocols MOTIONUPDATE and MOTIONPROPAGATE preserve the network connectivity and establish acyclic routing. For brevity, we do not present these claims formally in this version of the paper.

Node disappearance is an event dual in nature to node appearance. The update procedure for this event is equivalent to the protocol presented in Algorithm 6 with two key differences. First, it is triggered by the disappearance of a neighbor  $m$  from the communication range of a given source node  $n$ . Second, during step 2 of MOTIONUPDATE,  $n$  initially tries to find another neighbor  $m'$  that can replace  $m$  and preserve the existing routing table borders. If this cannot be achieved,  $n$  recreates the list of routing neighbors with an aim

to preserve as much as possible of the borders from the existing routing table. This objective minimizes the number of neighbors that propagate their routing table changes.

## 6. Experimental Results

In order to evaluate the performance of the generic FDR platform, we conducted several experiments. We have compared our approach with GPSR, as a state-of-the-art reactive protocol. We opted for comparison with GPSR because it provides similar support for network dynamics as FDR although its routing algorithm differs significantly. Comparison with proactive or hybrid routing protocols could be done only in one of the performance dimensions because their optimization goals are different from FDR's so they lack full support for network dynamics and/or they do not offer same routing convenience for each node. We have built a custom simulator for our FDR algorithm and we have implemented GPSR algorithm as it is described in [2].

First, we evaluated if all nodes are reachable. We have established a network with obstacles as shown in Figure 1. More specifically, we created a network field with four obstacles in a realistic setting, that is, a skewed distribution of nodes' placement in the field. Then, we randomly generated three network instances with 200, 500, and 1000 nodes and measured the percentage of messages that reached destination for each node-to-node coupling in case GPSR was used as a routing mechanism. Only 79%, 88.6%, and 90.3% of messages, respectively, were delivered, while the remainder had to terminate their path search due to exceeded TTL. Most applications that require reliability pose a strong demand for alternate routing mechanisms that guarantee delivery. In addition, name-based messaging in WAHNS (see Section 3) is particularly prone to failed message deliveries as efficient name-based services commonly require reliable communication.

Figures 10(a) and 10(b) illustrates histograms of path lengths for all pairs of nodes for two randomly generated networks of  $\{N, r\} = \{200, 0.13\}, \{400, 0.088\}$ . Range  $r$  was chosen so that the expected number of neighbors for each node is approximately the same regardless of the number of nodes in a network; we aimed at networks of similar density and different area coverage. The benchmark for our measurements was the result of the Dijkstra all-shortest-path algorithm with unit weight for each connection between nodes. We defined routing overhead as an additional number of hops necessary for a message delivery compared to the result given by the Dijkstra algorithm. We measured the results of FDR computed via both the DISCOVERY and SYNCHINIT protocols. Also, we computed path lengths using the GPSR protocol *excluding* connections that were not established. In Figure's legend we recorded the mean path length across all (in case of GPSR feasible) paths. One can observe that DISCOVERY found shortest paths in nearly all cases (overhead of less than 1% and 2.5%) and SYNCHINIT produced overhead of 5.8% and 8.4% compared to GPSR's overhead of 9% and 23% (with all infeasible paths excluded) for the network instances of 200 and 400 nodes, respectively.

Figure 10(c) illustrates the number of messages,  $M$ , exchanged among all nodes during network initialization. This is the entire initialization cost in FDR. Considered networks are itemized in the caption of Figure 10. Two different datasets are plotted,  $M$  for initialization via DISCOVERY only and via SYNCHINIT as described in Section 4. In almost all cases, we recorded improvement in traffic that was nearly constant within 20–25%. For denser networks, this improvement increased, for example, in our experiments we recorded the largest improvement in excess of 60% for  $\{N, r\} = \{1000, 0.13\}$ . Note that the number of messages is comparable to network “flooding;” however, FDR has several important improvements with respect to other “flooding” schemes. First, in FDR  $M \sim \mathcal{O}(N\sqrt{N})$  versus  $\mathcal{O}(N^2)$  for true “flooding.” Next, individual nodes do not have to compute the shortest paths upon learning network's topology, that is, routing tables are computed in a distributed fashion. This greatly reduces the complexity and memory requirement of individual nodes. In addition, FDR's routing tables require nearly minimal storage which scales well with network size [3]. Finally, FDR supports mobility mostly via the Inheritance primitive which greatly reduces traffic for routing tables' maintenance once connectivity is established via SYNCHINIT.

We simulated a large number of motion events. We recorded the trail of messages sent throughout a network in order to propagate information about changes in network topology. We used a uniform distribution to determine a direction of each motion event. We used an exponentially decreasing distribution function to determine the length of each move with the maximum of 3 node communication ranges. For each network type, we simulated 25 000 motion events over 5 different network instances. Each motion event can affect a routing table of a number of nodes, and one or more routing borders within each table. The average number of modified tables ranges from 12.3 for networks with  $\{N, r\} = \{200, 0.130\}$  to 17.8 for  $\{N, r\} = \{1000, 0.060\}$ . An example of a complete probability distribution is shown in Figure 11.

The likelihood of a node launching the DISCOVERY protocol due to a motion event ranges from 45% for networks with 200 nodes to 10% for networks with 1000 nodes. An example of such probability distribution is shown in Figure 12. Figure 13 presents the overall improvement of the cost (expressed in terms of the number of the exchanged messages necessary for a network update) that is the result of a use of the combination of DISCOVERY and INHERITANCE protocols, compared to the incurred cost if only the DISCOVERY protocol is used. The combination of the protocols significantly reduces communication requirements, while maintaining the property that *all* tables have updated routes toward all nodes in the network.

## 7. Conclusion

In summary, the detailed presentation of the FDR framework in this paper along with the experimental results show cases of a multi-hop protocol that addresses efficiently the criteria (i–vii) for WAHNS. Compared to GPSR, traffic overhead

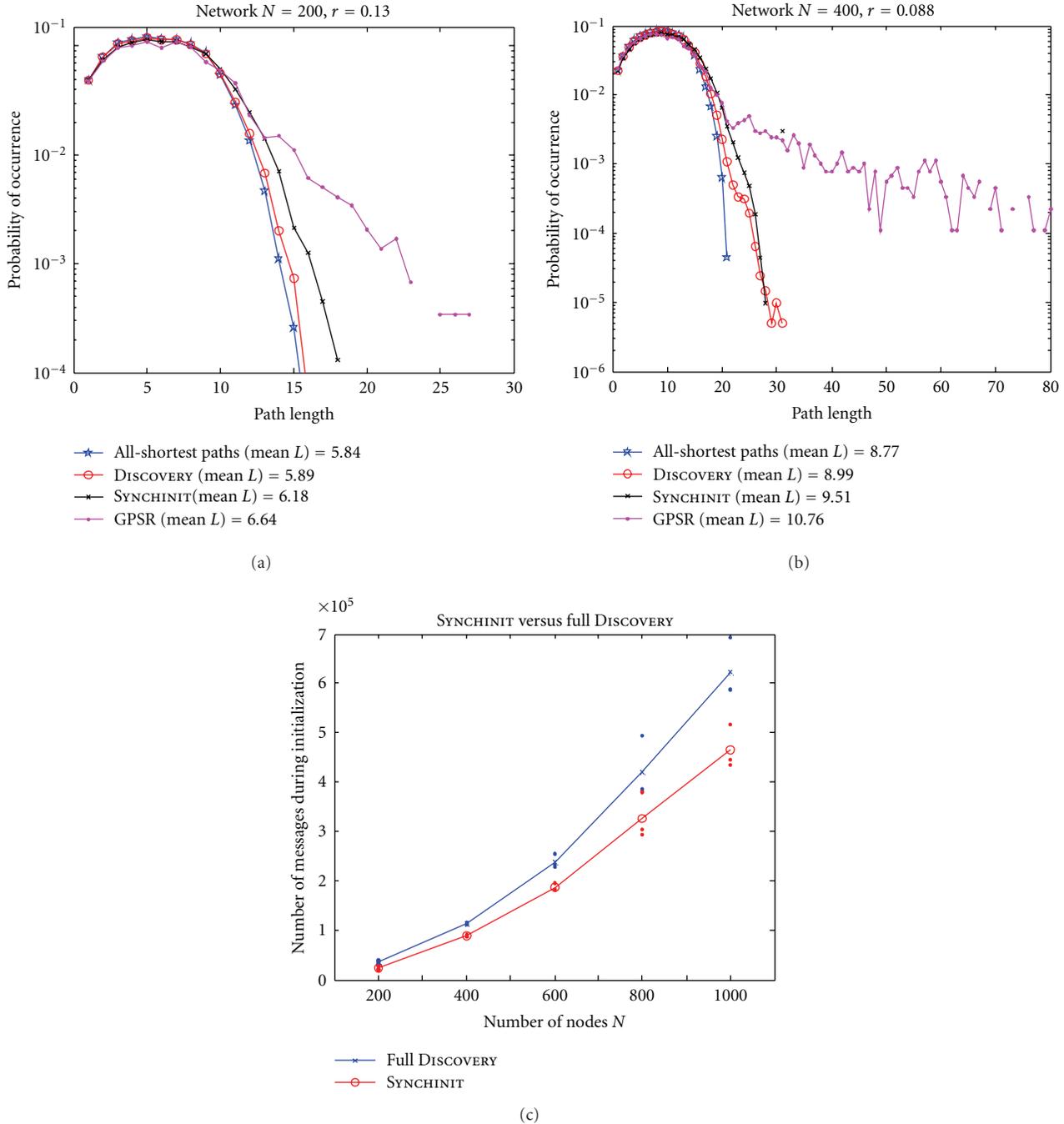


FIGURE 10: Probability distribution for path length in a randomly generated network  $N = 200, r = 0.13$  (a) and  $N = 400, r = 0.088$  (b) in a unit-square field. Number of messages sent during initialization using DISCOVERY only and using SYNCHINIT for three network instances for each of the following network types:  $\{N, r\} = \{200, 0.13\}, \{400, 0.088\}, \{600, 0.071\}, \{800, 0.061\}, \{1000, 0.06\}$ . We selected the communication range  $r$  for each network so that the expected number of neighbors for each node is approximately equivalent.

due to periodic SYNCHINITs to optimize path lengths can be negligible in WAHNS where nodes exchange large amounts of data (e.g., audio/video, sensor data) and motion events happen infrequently. Compared to other proactive schemes, FDR offers support for node motion at low cost in overhead traffic and requires low computational resources for nodes. This can be particularly applicable to networks of sensors.

Throughout the paper, we used an optimization goal to make route lengths as close as possible to the length shortest-path routes. There exist scenarios where such optimization goal is not the most desirable one. Strength of wireless signal is inversely proportional to the square distance of the signal source so one can envision a scenario where more hops in a message delivery can be more energy efficient

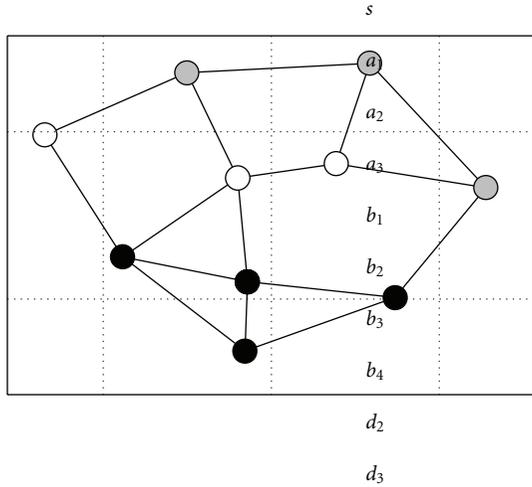


FIGURE 11: Probability distribution of the number of tables and borders affected by motion events for the network type  $\{N, r\} = \{600, 0.071\}$ .

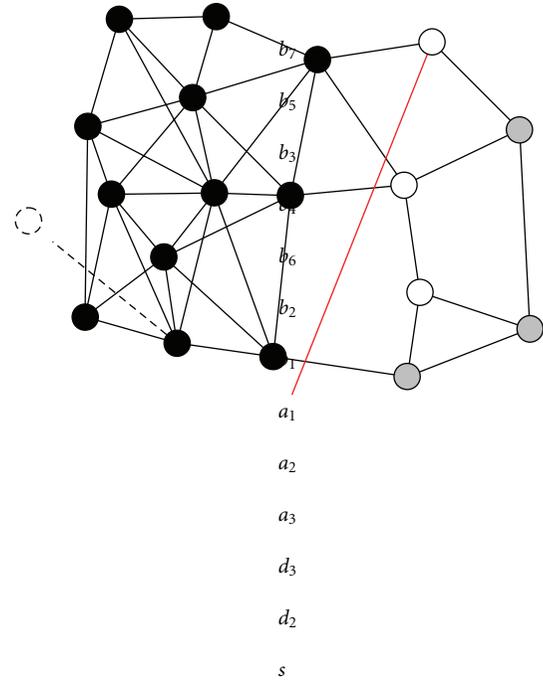


FIGURE 13: Comparison between a system using only the DISCOVERY protocol and a system that combines the DISCOVERY and INHERITANCE protocols.

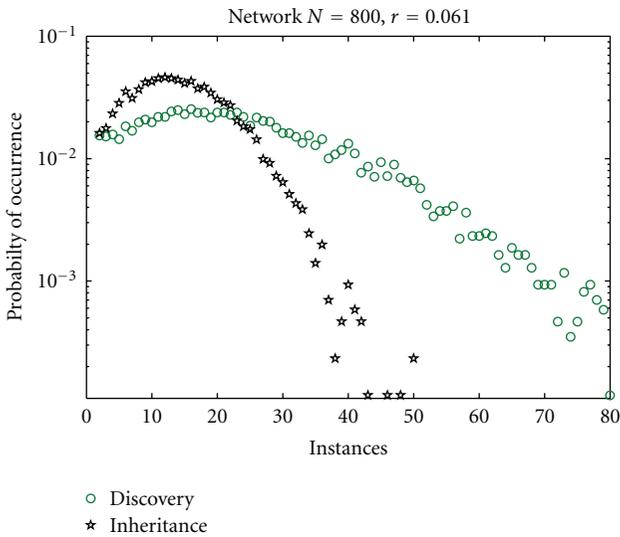


FIGURE 12: Probability distribution of DISCOVERY protocol versus INHERITANCE protocol induced by motion events for the network type  $\{N, r\} = \{800, 0.061\}$ .

than smaller number of hops. In our simulations, we have neglected a possibility of noisy communication [40], need for message retransmission, dropped connections [34], and so forth. These realistic scenarios can certainly affect a routing protocol. FDR is equipped with mechanisms to handle all of the above issues, whose exploration and the effect on FDR's performance we leave for future work. Other possible future directions are enhancements in FDR's border building algorithm where a node could cache already explored lists of nodes that are necessary to build borders; congestion avoidance during message routing; balancing of power utilization for improved network lifetime.

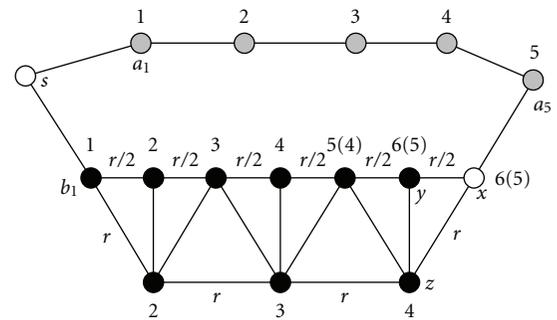


FIGURE 14: An example of a network that illustrates when DISCOVERY yields a suboptimal result. Numbers next to nodes represent the hop count from the source node  $s$ . For nodes that have suboptimal hop counts computed by the procedure, optimal hop counts are given in parentheses.

## Appendix

*Proof of Theorem 4 (by contradiction).* Assume that there exists an essential node  $e$  at distance  $\alpha$  from the source node  $s$ . Next, assume that there exists a nonessential node  $l$  at distance  $\alpha - 1$  from  $s$  that is connected to  $e$ . Since  $l$  is nonessential, there exist multiple neighbors of  $s$  via which  $s$  can forward messages to  $l$  with the smallest number of hops. Since  $l$  is connected to  $e$ , there exist multiple neighbors of  $s$  via which  $s$  can forward the message to  $e$ . It follows that either  $e$  is not essential or there does not exist such a nonessential node  $l$ .  $\square$

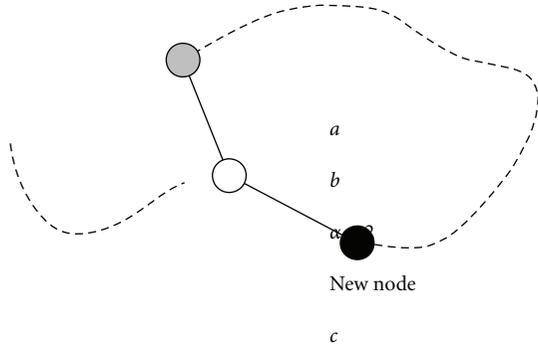


FIGURE 15: An example of a network used to prove that FDR is acyclic.

*Proof of Theorem 5.* For each nonessential node  $n_j$ , there exist multiple neighbors of  $s$  that can be a first hop on the shortest path. If we remove all these neighbors except one, all nonessential nodes become essential via the unremoved neighbor. Lets denote all nonessential nodes converted to essential nodes via this step as *pseudoessential*. We can now directly apply Theorem 4 to prove that the shortest path toward any *pseudoessential* node leads via other *pseudoessential* nodes.  $\square$

*Proof of Theorem 9 (by example).* Consider an example of a network shown in Figure 14. The source node identifies two routing zones and initiates the process of discovering essential nodes along the border. The numbers in Figure 14 next to each node indicate the length of the shortest path from  $s$ , while  $r$  indicates node's communication range. The following conditions in this example lead to incorrectly calculating the hop count from  $s$  to  $x$ .

- (i) Node  $a_5$  has hop count of 5 from  $s$ .
- (ii) The procedure discovers the subset of essential nodes along the border and calculates the hop count of 6 from  $s$  to  $y$ .
- (iii) Node  $z$  is sufficiently far from the border so the procedure never considers it, and the hop count of 4 from  $s$  to  $z$  is not calculated.

The calculated shortest path hop count from  $s$  to  $x$  is 6 (via  $a_5$ ) while the correct hop count is 5 (via  $z$ ). The procedure then incorrectly places  $x$  in the same routing zone as  $A_5$ .  $\square$

*Proof of Theorem 10.* The necessary condition for DISCOVERY to yield suboptimal solution is that there exist two paths from a source node to an incorrectly classified node. Even if a node is incorrectly placed into another routing zone, there still exists a routing path from the source node to that node. Thus, a node cannot be disconnected.  $\square$

*Proof of Theorem 11.* We consider two complementary cases; FDR's routing tables produce an all-shortest-paths routing; and there exist paths that are suboptimal in terms of the length of routing paths. In the former case, each message delivery between two neighboring nodes reduces the distance

to the destination node by one hop because of the shortest path routing. Thus, a source node or any intermediate node on the message path cannot be encountered twice, that is, the routing is acyclic.

In the latter case, we assume that cyclic forwarding of a message has occurred and consider two possible scenarios: (i) there exists a pair of neighboring nodes  $n_i, n_{i+1}$  on the forwarding path such that when  $n_i$  sends a message to  $n_{i+1}$ ,  $n_{i+1}$  returns the message to  $n_i$ , and (ii) a message returns to a sender via a unique set of nodes (following a cyclic route).

For case (i), consider a pair of neighboring nodes  $n_i$  and  $n_{i+1}$ . Let us assume that there exists a node  $x$  at a distance of two hops from  $n_i$  which is a neighbor of  $n_{i+1}$ . Also, lets assume that  $n_i$  routes its messages to  $x$  via  $n_{i+1}$ . In order for  $n_{i+1}$  to return message directed to  $x$  back to  $n_i$ , it has to have  $n_i$  as a first hop toward  $x$  in its routing table. However, by the initial assumption  $x$  is a neighbor of  $n_{i+1}$  so all the messages from  $n_{i+1}$  to  $x$  are sent via their direct link. Since the procedure for selecting routing neighbors (Algorithm 1) considers nodes at distance of two hops, nodes  $n_i$  and  $n_{i+1}$  cannot have each other selected as first hops toward the same node. Hence, a node cannot return a message directly to the sender.

For case (ii), we consider a general case illustrated using Figure 15, when FDR does not follow the shortest path routing and shows that a cyclic routes cannot occur. Dashed lines in Figure 15 indicate that there exists a path between two nodes. The label on each dashed line represents the path length. We also use this label as a name for the path. Assume that the shortest path distance from a source  $s$  to a destination  $d$  is  $\alpha + 1 + \beta$  hops. Also, assume that there exists another path between nodes  $a$  and  $d$  whose length is  $\gamma$  and  $\beta < \gamma$ . At the same time, assume that  $\beta < \delta + \theta < \gamma$  so node  $x$  misclassifies  $d$  to be routed via its neighbor  $b$  instead of  $a$ . Consider a message forwarded from  $s$  to  $d$ . After  $\alpha$  hops, the message arrives at node  $x$ , which forwards it toward  $d$  via  $b$ . The only way for this message to enter a cycle is that at node  $z$ , it is forwarded back on the path with  $\kappa$  hops toward node  $c$ . When  $z$  builds its FDR table, it considers paths of length  $\theta$  toward  $d$  and paths  $\kappa + 2 + \delta + \theta$  or  $\kappa + 2 + \gamma$  (latter two are cyclic via  $c$ ). Node  $z$  does not consider the path of length  $\kappa + 2 + \beta$  since that path has to pass via  $x$ , and  $x$  does not consider path  $\beta$  as viable since it is farther from the border than path  $\gamma$ . Since  $\kappa + 2 + \delta + \theta > \theta < \kappa + 2 + \gamma$ ,  $z$  always chooses the path  $\theta$  for forwarding. This implies that a path cannot be cyclic. Note that we did not make any assumptions on path lengths except for the conditions necessary to misclassify  $d$  in the routing table of  $x$ .  $\square$

*Proof of Theorem 12 (by example).* Consider an example of a network shown in Figure 16. Node  $s$  inherits routing tables from nodes  $a_1$  and  $b_1$ . It places a new border such that nodes  $a_2$  and  $a_3$  are routed via  $a_1$ , while  $b_2$ ,  $b_3$ , and  $a_4$  are routed via  $b_1$ . Consequently, the routing path from  $s$  to  $a_4$  increased from the minimal four hops to five hops, which is suboptimal.  $\square$

*Proof of Theorem 13.* From Theorem 10, it follows that all nodes in a routing zone are reachable. When INHERITANCE



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