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Joint coding in parallel symmetric interference channels with deterministic model

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Abstract

In parallel interference channels, the sum-rate achieved by joint coding among subchannels can exceed the sum of the achievable rate of each subchannel with individual coding. In this paper, a capacity-achieving joint coding scheme is proposed for parallel symmetric interference channel. First, we provide a motivating example, from which the insights into the joint coding scheme are obtained. Second, we introduce a transmission scheme in two-user parallel symmetric interference channels, where the subchannels can cooperate to cancel interference. Then, by taking advantage of signal level alignment of the interference from different users, we generalize the scheme to multi-user cases. Finally, we prove that our scheme can achieve the sum capacity and illustrate the generalized degrees of freedom gains over individual coding in various interference scenarios.

Keywords: Deterministic model, Generalized degrees of freedom, Interference alignment, Joint coding, Parallel interference channel

1 Introduction

Parallel interference channel is a collection of subchannels where each subchannel is an interference channel but there is no interference between the subchannels. The typical parallel interference channels are frequency-selective orthogonal multicarrier interference channel and time-varying multi-symbol interference channel.

When considering parallel interference channel, many researchers focused their attentions on separate coding over each subchannel [1–3]. This might be due to the well-known fact that parallel point-to-point channel, multiple-access channel and broadcast channel are all separable. However, parallel interference channel is not separable in general. As shown by a counterexample in [4], joint coding across multiple subchannels outperforms individually optimal coding. Recently, for the two-user parallel Gaussian interference channels, Shang et al. [5] determined the conditions on the channel coefficients and power constraints under which independent coding across subchannels (i.e., treating interference as noise) is optimal. For K -user parallel deterministic interference

networks, Sun and Jafar [6] derived the conditions under which treating interference as noise at each subchannel is optimal. For two-user ergodic Gaussian interference channels, Sankar et al. [7] showed that under certain conditions, joint coding across the fading states, which can be seen as subchannels, is required for optimality.

In this paper, the link between a transmitter and its desired receiver is denoted as direct-link, and the link between a transmitter and its undesired receiver is denoted as cross-link. For individual coding in each subchannel, the existence of cross-link can only deteriorate the direct-link transmission [8–10]. In weak interference channels, the interference will decrease the signal-to-interference-plus-noise ratio (SINR). In strong interference channels, although the interference can be decoded and then canceled, it occupies higher signal amplitude levels, leading to a reduced transmission rate of desired signals.

Recently, research results change this pessimistic point of view and reveal that the interference can actually be exploited to help decoding. In [11], the linear interference network problem is translated to the index coding problem. The authors used an example to show that the interference bit decoded in the second time slot can be used to cancel the interference encountered in the first

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time slot. In [12, 13], the interference signal goes through two cross-links through feedback and finally becomes desired signal.

In parallel interference channels, the strong interference subchannels can be used to help the decoding of other weak interference subchannels by retransmitting the bits that generate interference, as will be explained in the subsequent sections. However, when two users retransmit their information simultaneously in the same strong interference subchannel, they also interfere with each other. In [14], this problem was simplified by only using individual coding on strong interference subchannels. In [15], considering a specific scenario, only one user was allowed to transmit on these strong interference subchannels. In [16], a capacity-achieving joint coding scheme was proposed for two-user two-subchannel symmetric deterministic interference channel, but the proof of capacity achieving is divided into multiple subcases, and the corresponding joint coding schemes are respectively designed for each subcase. Due to the lack of systematic design principle, this scheme is hard to be generalized to parallel interference channel with multiple subchannels and multiple users. In [17], the parallel symmetric two-user interference channels were studied when the interference is bursty and feedback is available from the receivers. With the help of channel bursty and feedback, the subchannel in very strong interference regime can help to recover the signals for subchannels in strong and weak interference regime. However, the scheme does not work in constant interference channels, and there is no mechanism to let the strong interference subchannel help the weak interference subchannel.

In this paper, we study joint coding problem over parallel symmetric interference channel with multiple subchannels, where each subchannel is constant over the coding block and no feedback information from the receiver is available. First, we introduce a transmission scheme under two-user parallel symmetric deterministic interference channels. Then, we extend the scheme to multi-user cases using the principle of signal level alignment. To prove the optimality of this scheme, we derive the capacity of this class of channels by using El Gamal and Costa's result [18] and show that the proposed scheme can achieve the sum capacity. Finally, we illustrate the generalized degrees of freedom (GDoF) gains of the proposed joint coding scheme over the individual coding scheme in various interference scenarios.

The capacity or GDoF analyses of the interference channel through the help of deterministic channel model have been got a lot of attentions in recent years, but few of them studied the parallel interference channel. For example, in [19], the sum capacity of a special case of K -user Gaussian interference network is determined within $O(K)$ bits, where only one of the users interferes with and is

also interfered by all the other users. Multi-user cognitive interference network is studied in [20], where secondary users have a priori non-causal message knowledge of primary license holders and can transmit signals to neutralize the interference appeared in primary receivers. Symmetric interference relay channel is studied in [21], where a full-duplex relay is present to coordinate the interference. Furthermore, multicoding scheme is developed in [22], by which the same rate region compared with Han-Kobayashi coding is achieved in two-user discrete memoryless interference channel. In [23], a tight converse for two-user deterministic interference channel is derived by extended network and generalized cut-set bound.

The rest of this paper is organized as follows. In Section 2, we provide the deterministic model for K -user parallel symmetric interference channels. Then, in Section 3, we introduce the individual coding scheme and its achieved GDoF for symmetric interference channels. In Section 4, the joint coding schemes are developed in two-user and multi-user cases, respectively. We prove the optimality of the proposed joint coding scheme in Section 5, where the GDoF gains over individual coding are illustrated through analysis and numerical results. Finally, Section 6 concludes this paper.

2 Channel model

It is hard to study the parallel interference network problem under Gaussian channels. In this paper, we resort to the deterministic channel model proposed in [24], which approximates the Gaussian channel as a discrete set of parallel noiseless channels [24, 25].

For the convenience of readers, we first introduce the deterministic model of point-to-point channel proposed in [24] and define several notions to be used subsequently. Then, we introduce the deterministic model of interference channel, in which each link is modeled in the same way as in point-to-point channel. Finally, we provide the deterministic model of parallel symmetric interference channel, in which each subchannel is modeled as in interference channel.

2.1 Deterministic model of point-to-point channel

In point-to-point Gaussian channel, a real-valued input x generates a real-valued output y degraded by Gaussian noise z , i.e.,

$$y = hx + z, \quad (1)$$

where h is the channel coefficient, $E[|x|^2] = P$, and the variance of z is N_0 . The signal-to-noise ratio (SNR) is defined as $|h|^2P/N_0 = \gamma$. If the powers of x and z are normalized to 1, then the effective channel gain is $\sqrt{\gamma}$.

To transform the Gaussian channel to a deterministic channel, we first represent the normalized x in a base-2

notation as

$$\bar{x} = 0.b_1b_2b_3b_4b_5 \dots, \tag{2}$$

where each bit $b_i \in \{0, 1\}$, which can be interpreted as occupying a signal level, and the most significant bit corresponds to the highest signal level.

Definition 1 (signal level) *In a deterministic channel, we quantize signal into multiple layers. Each layer is referred to a signal level, or simply called a level.*

Definition 2 (bit) *Each part of the signal in one level is called a bit. Obviously, all the bits compose the signal.*

Definition 3 (relative level order) *Different bits from a signal occupy different levels. Relative level order of two bits refers to the relative height between the two levels that they occupy. There are three kinds of relative level order between a pair of bits: higher, lower, and equal.*

Given the SNR γ , the output of the deterministic channel is

$$\bar{y} = \lfloor 2^M \bar{x} \rfloor = b_1b_2 \dots b_M, \tag{3}$$

where $M = \frac{1}{2} \lfloor \log_2 \gamma \rfloor$ is the largest integer below $\log_2 \gamma$ and b_M is the lowest signal level above the noise. In other words, the input bit sequence is shifted by M positions and the remaining part after b_M is truncated due to the degradation of noise.

Since there is no interference, the receiver can obtain M bits. The capacity of deterministic point-to-point channel is defined as

$$C_{\text{P2P}}(\text{SNR}) = \frac{1}{2} \lfloor \log_2 \gamma \rfloor = M. \tag{4}$$

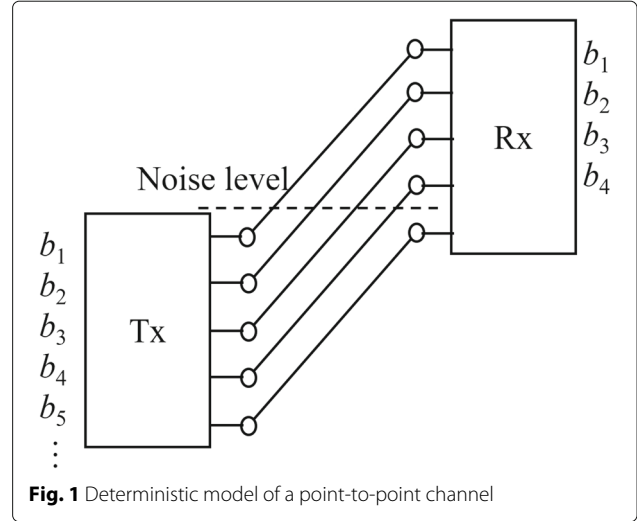
The shifting and truncation operations are illustrated in Fig. 1. In Fig. 1, $b_1, b_2 \dots$ are bits occupying different levels. For example, the relative order between b_2 and b_5 is that b_2 is higher than b_5 . Besides, the capacity of this channel is $C_{\text{P2P}}(\text{SNR}) = 4$.

2.2 Deterministic model of interference channel

In K -user Gaussian interference channels, the inputs of K users form a vector \mathbf{x} , and the output vector is

$$\mathbf{y} = \mathbf{H}\mathbf{x} + \mathbf{z}, \tag{5}$$

where the entry of channel matrix $H_{i,j}$ stands for the channel gain from transmitter j to receiver i . The noise of different users is assumed to be independent and identically distributed (i.i.d.), and $E[\mathbf{z}\mathbf{z}^H] = N_0\mathbf{I}$. The SNRs depend on the channel gains of the direct-link, which are $\gamma_{k,k} = |H_{k,k}|^2 P_k / N_0$. The interference-to-noise ratios (INRs) depend on the channel gains of the cross-link, which are $\gamma_{i,j} = |H_{i,j}|^2 P_j / N_0, i \neq j$.



For a K -user deterministic interference channel, the channel inputs can be written in a base-2 notation, i.e.,

$$\begin{aligned} \bar{x}_1 &= 0.a_1a_2a_3a_4a_5 \dots, \\ \bar{x}_2 &= 0.b_1b_2b_3b_4b_5 \dots, \\ &\vdots \\ \bar{x}_K &= 0.k_1k_2k_3k_4k_5 \dots, \end{aligned} \tag{6}$$

where $a_i, b_i, \dots, k_i \in \{0, 1\}$.

At the receiver, the outputs of the direct-link channel and cross-link channels are added together. Specially, the signal addition takes the form of XOR, i.e., modulo-2 addition. Therefore, the addition of signal and interference on one signal level does not affect that on other signal levels. The bits that are lower than noise level are lost in this model. This simplification allows us to more focus on the interactions between signal and interference.

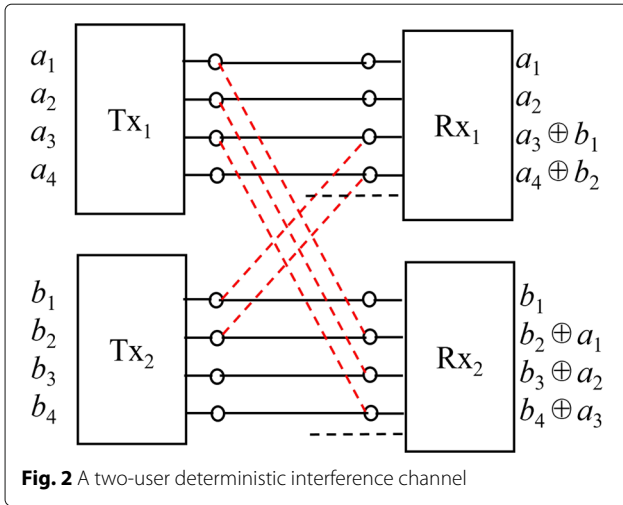
Define $M_{i,j} = \frac{1}{2} \lfloor \log_2 \gamma_{i,j} \rfloor$ and apply (3) to every direct-link and cross-link of (5). Then, the output can be written as

$$\begin{aligned} \bar{y}_1 &= \lfloor 2^{M_{1,1}} \bar{x}_1 \rfloor \oplus \lfloor 2^{M_{1,2}} \bar{x}_2 \rfloor \oplus \dots \oplus \lfloor 2^{M_{1,K}} \bar{x}_K \rfloor, \\ \bar{y}_2 &= \lfloor 2^{M_{2,1}} \bar{x}_1 \rfloor \oplus \lfloor 2^{M_{2,2}} \bar{x}_2 \rfloor \oplus \dots \oplus \lfloor 2^{M_{2,K}} \bar{x}_K \rfloor, \\ &\vdots \\ \bar{y}_K &= \lfloor 2^{M_{K,1}} \bar{x}_1 \rfloor \oplus \lfloor 2^{M_{K,2}} \bar{x}_2 \rfloor \oplus \dots \oplus \lfloor 2^{M_{K,K}} \bar{x}_K \rfloor. \end{aligned} \tag{7}$$

An example of the two-user deterministic interference channel is shown in Fig. 2, where we denote the direct-link as solid lines and denote the cross-link as dotted lines.

2.3 Parallel symmetric interference channel

In a two-user symmetric interference channel, the SNRs of two direct-link channels are identical and the INRs of two cross-link channels are identical, i.e., $\gamma_{1,1} = \gamma_{2,2}$ and $\gamma_{1,2} = \gamma_{2,1}$. In multi-user symmetric interference channel, all the direct-link gains are the same and all the cross-link gains



are the same as well. In this kind of channel, each user generates interference to other users at the same levels.

In a symmetric interference channel, if the INR is larger than the SNR, we call it a strong interference channel. Otherwise, we call it a weak interference channel. Specifically, in deterministic channels, the strength of interference is expressed by the number of levels. Thus, if there are less cross-link levels than direct-link levels in a deterministic symmetric interference channel, it is a weak interference channel. Otherwise, it is a strong interference channel. For a network with more than one symmetric interference subchannels, we call it a parallel symmetric interference channel, where each subchannel may be a strong or weak interference channel. The difference among subchannels comes from frequency-selective or time-selective fading, i.e., each subchannel may experience different channel fading. An example of a two-user three-subchannel parallel symmetric interference channel is shown in Fig. 5.

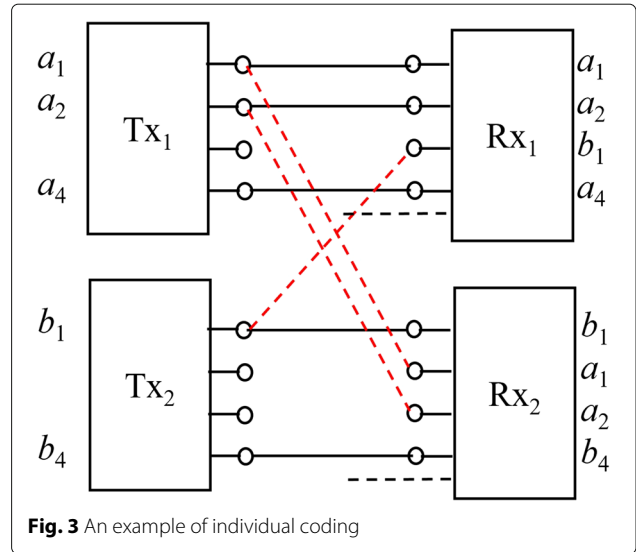
In Fig. 5, there is only one transmitter and one receiver for each user. For example, the Tx₁ blocks in different subchannels belong to the same transmitter of user 1.

3 Individual coding

Most of previous researches on interference channels focus on individual coding, which means that the coding scheme is taken in each subchannel individually, and there is no cooperation among multiple subchannels. In this section, we will first give an example of the optimal individual coding scheme in a two-user deterministic interference channel and then present the known GDoF results for K -user symmetric interference channels.

3.1 An example of individual coding

Figure 3 is an example of the individual coding in a two-user deterministic interference channel where the interference conditions are the same as in Fig. 2. It can



been seen that because of the mutual interference, some signal levels must be muted; otherwise, the superposition bits are not decodable and the system throughput will be degraded. As shown in Fig. 2, if all the signal levels are occupied, only three bits can be decoded for the two users. However, if using the transmission scheme shown in Fig. 3, i.e., user 1 transmits on levels $a_1, a_2,$ and a_4 and user 2 transmits on levels b_1 and b_4 , totally five bits can be decoded. Through exhaustive searching, we can find that the sum capacity of this channel is exactly 5, and the presented scheme in Fig. 3 is thus the best individual coding scheme for this channel.

3.2 Generalized degrees of freedom

For the general K -user symmetric interference channels, an individual coding scheme is presented in [10]. Although the scheme is originally designed for Gaussian interference channels, the deterministic model is used in the derivations, and it thus can be easily applied in deterministic interference channels. In [10], the GDoF of the K -user symmetric Gaussian interference channel is also derived.

The GDoF per user is defined as

$$d(\alpha) = \frac{1}{K} \lim_{\text{SNR} \rightarrow \infty} \sup \frac{C_{\Sigma}(\text{SNR}, \alpha)}{C_{\text{P2P}}(\text{SNR})}, \quad (8)$$

where $C_{\text{P2P}}(\text{SNR})$ is the interference-free capacity for one user, $C_{\Sigma}(\text{SNR}, \alpha)$ is the sum capacity of the K -user interference channel, and

$$\alpha = \frac{\log \text{INR}}{\log \text{SNR}} \quad (9)$$

denotes the strength of interference. When $\alpha < 1$, it is a weak interference channel; when $\alpha > 1$, it is a strong interference channel.

GDoF denotes the ratio of the average sum capacity of the interference channel normalized by the capacity of the point-to-point interference-free channel when the SNR approaches infinity. For K -user symmetric Gaussian interference channels,

$$C_{P2P}(\text{SNR}) = \frac{1}{2} \log(1 + \text{SNR}), \tag{10}$$

and the GDoF is characterized as the following piecewise function [10],

$$d(\alpha) = \begin{cases} 1 - \alpha, & \alpha \in (0, \frac{1}{2}) \text{ (noisy weak)} \\ \alpha, & \alpha \in (\frac{1}{2}, \frac{2}{3}) \text{ (fairly weak)} \\ 1 - \frac{1}{2}\alpha, & \alpha \in (\frac{2}{3}, 1) \text{ (moderately weak)} \\ \frac{1}{K}, & \alpha = 1 \\ \frac{1}{2}\alpha, & \alpha \in (1, 2) \text{ (moderately strong)} \\ 1, & \alpha \in (2, +\infty) \text{ (very strong)} \end{cases} \tag{11}$$

In this function, the weak interference scenario is further subdivided into three cases and the strong interference scenario is further subdivided into two cases, according to the value of α . The piecewise GDoF curve is shown in Fig. 4, where the GDoF achieves maximum in interference-free scenario or very strong interference scenario.

For K -user symmetric deterministic interference channels, the GDoF function is as same as in (11), since the difference of the sum capacity in deterministic interference channel and in Gaussian interference channel is within finite bits [10]. When the SNR approaches infinity, the ratio $d(\alpha)$ will go to the same.

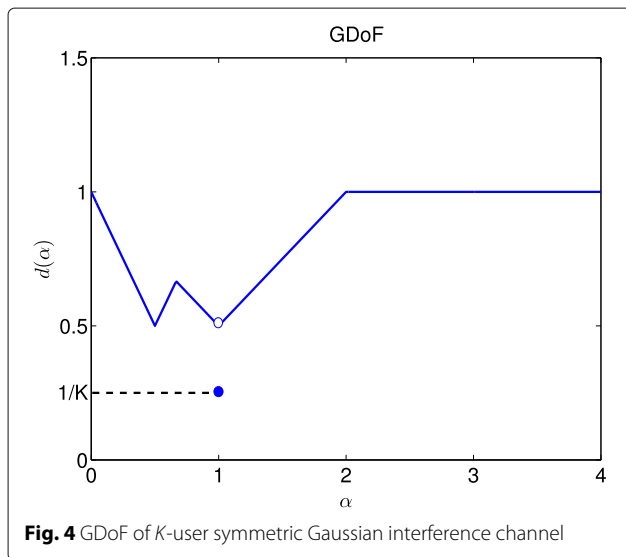


Fig. 4 GDoF of K -user symmetric Gaussian interference channel

4 Joint coding scheme

In parallel interference channel, the signals in different subchannels of one user is transmitted by the same transmitter; thus, the subchannels can be jointly encoded. Similarly, the signals received in different subchannels of the same user can be jointly decoded. The basic idea of the proposed transmission scheme is as follows. In weak interference subchannels, the bits is transmitted at the maximal possible data rate of the direct-link without considering the existence of cross-link interference. In strong interference subchannels, the bits that will generate interference in weak interference subchannels is retransmitted following a certain rule. The received signals in weak interference subchannels and strong interference subchannels are then jointly decoded.

4.1 A motivating example

To show the basic idea of our joint coding scheme, we first see a simple example. As shown in Fig. 5, the two-user parallel interference channel has three subchannels, we call them subchannels I, II, and III. The number of levels in the direct-link is three in both subchannels I and II. The number of levels in the cross-link is one and two in subchannel I and II, respectively. In subchannel III, two signal levels exist in the direct-link and three signal levels exist in the cross-link. According to the statements in Section 2.3, subchannels I and II are weak interference channels, while subchannel III is a strong interference channel.

In subchannel I, user 1 and user 2 transmit their bits on all signal levels of direct-link regardless of interference. Specifically, Tx₁ transmits $a_1, a_2,$ and a_3 and Tx₂ transmits $b_1, b_2,$ and b_3 . Obviously, there are interference at the two receivers as can be seen from Fig. 5a. In particular, since the number of direct-link signal levels $M_{1,1} = 3$ and that of cross-link signal levels $M_{2,1} = 1$, a bit $a_1 \oplus b_3$ is received at Rx₂, which means that b_3 is interfered by a_1 . Because the channel is symmetric for two users, the interference scenario is similar at Rx₁, i.e., a_3 is interfered by b_1 . Provided the received bits in subchannel I, only a_1 and a_2 are decodable at Rx₁ and only b_1 and b_2 are decodable at Rx₂. The contaminated bits a_3 and b_3 cannot be decoded without external help.

Subchannel II is also a weak interference channel, we use similar transmission strategy as in subchannel I. The number of cross-link signal levels of this subchannel is larger, $M_{1,2} = M_{2,1} = 2$. Therefore, although three bits are still transmitted for each user, two bits are interfered at each receiver, and only a_4 and b_4 can be decoded.

Since the bits are transmitted regardless of interference in weak interference subchannels, different bits can be transmitted in subchannel II and subchannel I, and they are independent. This property is essential in our joint coding scheme as we will see in the sequel.

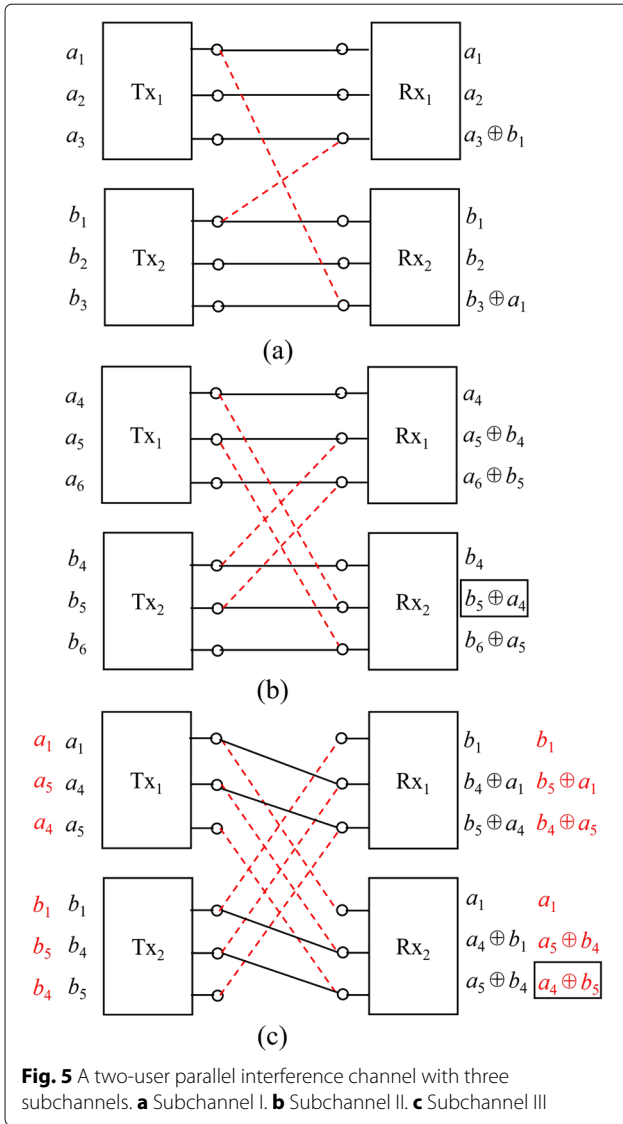


Fig. 5 A two-user parallel interference channel with three subchannels. **a** Subchannel I. **b** Subchannel II. **c** Subchannel III

Subchannel III is a strong interference channel, where three signal levels exist in the cross-link channel. The transmission scheme in this subchannel is critical. It determines whether the contaminated bits in subchannels I and II are decodable, and affects the spectrum utilization efficiency of the parallel interference channel.

The bits that will generate interference in weak interference subchannels are retransmitted in subchannel III, which is used to recover the contaminated bits in subchannel I and subchannel II. To avoid the interference between user 1 and user 2 in retransmission, a straightforward scheme at hand is orthogonal-based transmission schemes, so that there is no interference between user 1 and user 2. For example, in the first time slot, Tx₁ retransmits $a_1, a_4,$ and a_5 . Through the cross-link, these bits arrive at Rx₂ and can be used to cancel the interference appeared in subchannels I and II. In the second time

slot, Tx₂ retransmits $b_1, b_4,$ and $b_5,$ and Rx₁ uses these bits for interference cancelation. However, this scheme is obviously inefficient.

A better choice is to let Tx₁ and Tx₂ retransmitting simultaneously in subchannel III. As shown in Fig. 5c, both Rx₁ and Rx₂ obtain contaminated bits and cannot recover these bits individually. However, taking into account the received bits in subchannels I and II, these bits can be jointly decoded. For Rx₁, in three subchannels, totally nine bits are obtained, which can be expressed as follows:

$$\begin{aligned}
 r_{11} &= a_1, \\
 r_{12} &= a_2, \\
 r_{13} &= a_3 \oplus b_1, \\
 r_{14} &= a_4, \\
 r_{15} &= a_5 \oplus b_4, \\
 r_{16} &= a_6 \oplus b_5, \\
 r_{17} &= b_1, \\
 r_{18} &= b_4 \oplus a_1, \\
 r_{19} &= b_5 \oplus a_4,
 \end{aligned} \tag{12}$$

where $r_{1i}, i = 1, \dots, 9$ is the received bits at Rx₁. We can see from (12) that each received bit correspond to an equation and all the received bits provide us with a set of equations.

It can be seen that $a_1, a_2, a_4,$ and b_1 can be obtained immediately when $r_{11}, r_{12}, r_{14},$ and r_{17} is received. But the other five received bits are not simply transmitted bits from user 1 or user 2, none of which can be recovered by a single equation. Fortunately, the nine equations in (12) are linear uncorrelated, and there are only nine unknown variables in (12). By solving the set of equations, all the nine bits can be recovered. In these bits, six are transmitted by user 1, which are the desired bits. The other three bits, which are transmitted by user 2 to facilitate interference cancelation, will be discarded after decoding. Since the channel is symmetric, similar characteristic holds for Rx₂.

Remark 1 In subchannel III, the order of the retransmission cannot be arbitrary. For example, if we exchange the occupied levels of a_4 and $a_5,$ as labeled in the outer column in Fig. 5c, $a_4 \oplus b_5$ will be received twice at Rx₂. One is obtained in subchannel II, and the other is obtained in subchannel III, as indicated by the black box. In this case, part of the equations are linearly correlated, and the desired bits cannot be fully decoded.

4.2 Subchannel grouping

In the example above, the cross-link signal levels of weak interference subchannels are as many as that of strong interference subchannel. This condition is obviously not satisfied in most scenarios. Under the condition where there are more cross-link signal levels in weak interference

subchannels than that of strong interference subchannels, the resource to recover the bits contaminated in weak subchannels is not enough. Under opposite condition, the resource is too much and will be wasted. Therefore, a pre-processing step called subchannel grouping is introduced.

Denote the total number of cross-link signal levels of all the weak interference subchannels as N_{weak} and that of all the strong interference subchannels as N_{strong} . If $N_{\text{weak}} > N_{\text{strong}}$, we can select part of the weak interference subchannels to participate joint coding. The aggregated number of cross-link signal levels of this part of subchannels is N'_{weak} , which satisfies $N'_{\text{weak}} \leq N_{\text{strong}}$. At the same time, other weak interference subchannels employ individual coding introduced in Section 3. If $N_{\text{weak}} < N_{\text{strong}}$, we can select part of the strong interference subchannels to participate joint coding. The aggregated number of cross-link signal levels of this part of subchannels is N'_{strong} , which still satisfies $N'_{\text{strong}} \geq N_{\text{weak}}$. At the same time, other strong interference subchannels employ individual coding. As will be seen in next part, subchannel grouping ensures to satisfy a necessary condition under which the bits in the subchannels participating joint coding can be jointly decoded.

4.3 Joint coding scheme for two-user case

After the subchannels for joint coding are selected, in weak interference subchannels, all the direct-link signal levels are used to transmit new bits regardless of interference. In strong interference subchannels, the bits that will generate interference in weak interference subchannels, i.e., interfering bits, are retransmitted. It is demanded that in the retransmission process, the relative level orders between any pair of bits are kept unchanged compared with the orders when they are transmitted in the weak interference subchannels.

The joint coding scheme ensures the feasibility that the transmitted bits can be jointly decoded. In the following, we will formally prove the necessary condition and the feasibility of the proposed transmission scheme. As we will see, the necessary condition guarantees that there are enough number of equations, while the feasibility comes from the requirement that these equations are linearly uncorrelated. When enough number of linearly uncorrelated equations are obtained, the bits can be decoded.

Necessary Condition *For subchannels participating joint coding, the aggregated cross-link signal levels of strong interference subchannels should be no less than the aggregated cross-link signal levels of weak interference subchannels.*

Proof To ensure the interference in weak interference subchannels which can be eliminated with the help of

strong interference subchannels, at each receiver, the number of equations should be no less than the number of desired bits and interfering bits. Without loss of generality, we consider user 1. Assume that in all weak interference subchannels, there are totally X signal levels in the direct-link and Y signal levels in cross-links. Then, we have $X + Y$ unknown bits but only have X linear equations. To decode these bits, we need at least Y more linear uncorrelated equations, which should be provided by the cross-link retransmission in strong interference subchannels. Thus, the number of the aggregated signal levels of cross-links in strong interference subchannels should be no less than Y . \square

Feasibility *By the proposed joint coding scheme, the desired bits can be recovered at each receiver.*

Proof The cornerstone of this proof is the fact that the transmit levels of interfering bits are always lower than those of the desired bits in weak interference subchannels and vice versa in strong interference subchannels at receivers.

Assume that in a weak interference subchannel S_1 , Tx₁ transmits bit a_m and Tx₂ transmits bit b_n , these two bits collide on the same signal level at Rx₂. The bit a_m will be retransmitted in strong interference subchannel S_2 and might collide with a bit b_p at Rx₂. The bit b_p is first transmitted by Tx₂ in a weak interference subchannel S_3 and is retransmitted by Tx₂ in S_2 . There are two possible cases when we check the linear correlation property between $a_m \oplus b_n = r_{2k}$ and $a_m \oplus b_p = r_{2j}$, where m, n, p, k, j are integers.

The first case is that $S_3 \neq S_1$, i.e., b_p and b_n come from different weak interference subchannels. This suggests that they are different bits, for independent bits are transmitted in different weak interference subchannels. Hence, the two equations $a_m \oplus b_n = r_{2k}$ and $a_m \oplus b_p = r_{2j}$ are linearly uncorrelated.

The second case is that $S_3 = S_1$, i.e., b_p and b_n come from the same weak interference subchannel. In what follows, we show that they must occupy different signal levels at Tx₂.

Assume that a_m is transmitted on the m th level of Tx₁ in S_1 and retransmitted on the m' th level of Tx₁ in S_2 . b_n is transmitted on the n th level of Tx₂ in S_1 and retransmitted on the n' th level of Tx₂ in S_2 . b_p is transmitted on the p th level of Tx₂ in S_1 and retransmitted on the p' th level of Tx₂ in S_2 . In weak interference subchannels, SNR > INR; thus, we have $m < n$. In strong interference subchannels, SNR < INR; thus, we have $m' > p'$. Since the relative order in the retransmission process is the same with that in the first transmission, from $m' > p'$, we can derive $m > p$. Finally, we have the relationship $n > m > p$, which means b_p and b_n comes from different

signal level at Tx₂, and they are two different independent bits. As a consequence, the two equations $a_m \oplus b_n = r_{2k}$ and $a_m \oplus b_p = r_{2j}$ must be linear uncorrelated, and all the bits can be recovered. \square

To help understand the proof, we provide an example here. As shown in Fig. 6, S₁ and S₂ are respectively a weak interference subchannel and a strong interference subchannel, and S₃ = S₁. In this example, suppose that $a_m = a_2$, then it follows that $b_n = b_3$ and $b_p = b_1$. In subchannel S₁, since SNR > INR, a_2 and b_3 collide on the same signal level at Rx₂. In subchannel S₂, since SNR < INR, a_2 and b_1 collide on the same signal level at Rx₂. It follows that $a_2 \oplus b_3$ and $a_2 \oplus b_1$ are uncorrelated. The bits a_k and b_j in Fig. 6(b) are first transmitted in other weak interference subchannels, which are not shown here.

4.4 Joint coding scheme for multi-user case

In a multi-user symmetric interference channel, all the direct-link channel gains are identical and so are the cross-link gains. As shown in Fig. 7, for a K-user symmetric interference channel, each user receives interference from other K - 1 users. Due to the symmetry, at each receiver,

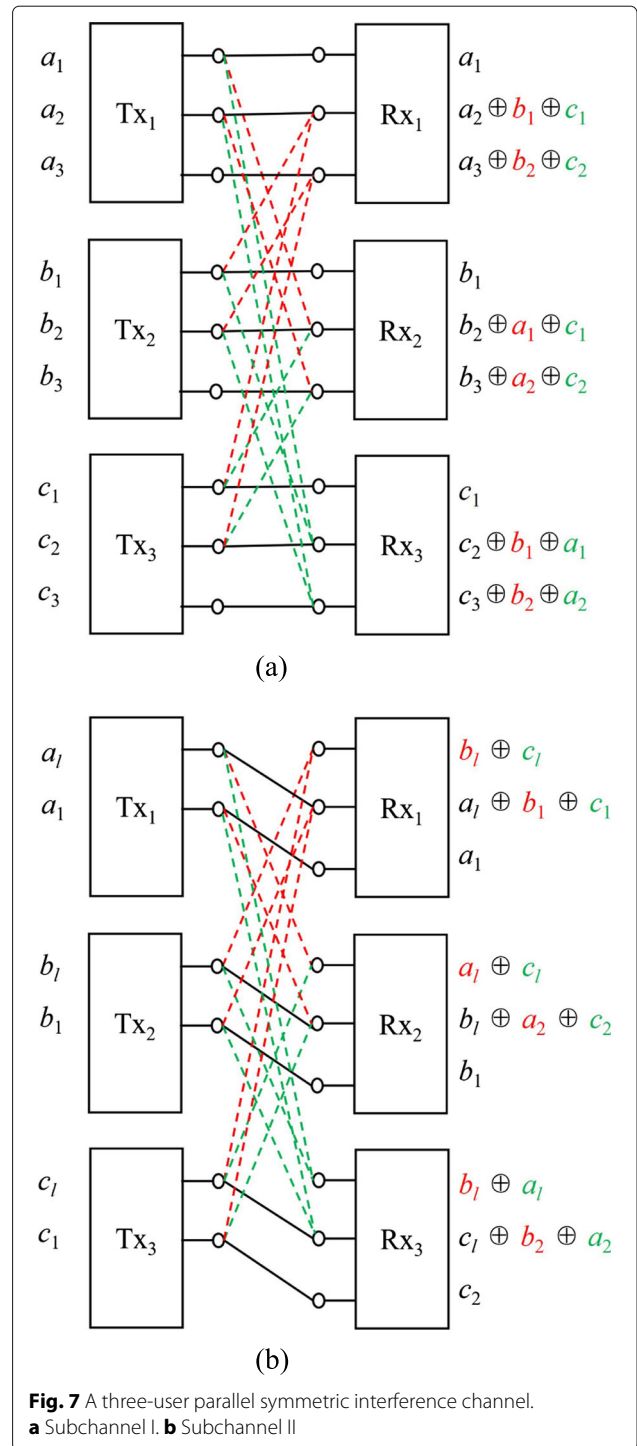
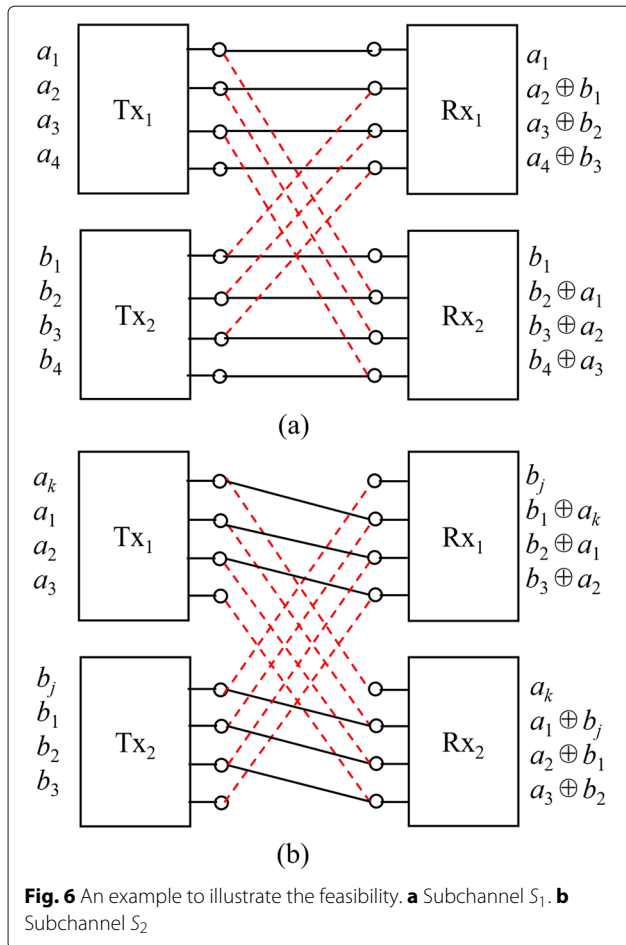


Fig. 7 A three-user parallel symmetric interference channel. **a** Subchannel I. **b** Subchannel II

the interference signal levels are aligned. If we view the aligned interference as coming from a virtual user, the joint coding and decoding in the multi-user case can be implemented as same as in the two-user case.

The proofs of necessary condition and feasibility for multi-user joint coding are the same as in the two-user case, except that we view the aligned interference from

$K - 1$ users as coming from one virtual user. Figure 7 is an example of a three-user parallel symmetric interference channel, where only two subchannels are shown. Subchannel I is a weak interference subchannel, and subchannel II is a strong interference subchannel. In subchannel I, as can be seen in Fig. 7a, the modulo-2 addition of $a_2 \oplus b_1 \oplus c_1 = r_{12}$ is received on the second level of Rx₁, where a_2 is the desired bit and $b_1 \oplus c_1$ is the interference bit. We can regard $b_1 \oplus c_1$ as one bit u_1 that is transmitted by a virtual user Tx _{u} . Then, we obtain an equation $a_2 \oplus u_1 = r_{12}$. In subchannel II, as can be seen in Fig. 7b, b_1 and c_1 are retransmitted by Tx₂ and Tx₃, respectively, and the virtual interference bit $u_1 = b_1 \oplus c_1$ will reappear at Rx₁ with a desired bit a_l , where a_l is a retransmission bit that causes interference in another weak interference subchannel which is not shown here. Then, we obtain another equation $a_l \oplus u_1 = r'_{12}$. Since the levels of interfering bits are always lower than the levels of desired bits in weak interference subchannels and vice versa for strong interference subchannels at receivers, even though a_2 is also retransmitted in this strong interference subchannel, the relative level order of a_l and a_2 cannot be equal at Tx₁. Thus, the two equations $a_2 \oplus u_1 = r_{12}$ and $a_l \oplus u_1 = r'_{12}$ are linear uncorrelated. Then, the desired bits of user 1 can be jointly decoded at Rx₁. Similar equations can be obtained at Rx₂ and Rx₃, and in this way, we generalize the joint coding scheme to multi-user parallel symmetric interference channels.

5 Performance analysis

In the proposed joint coding scheme, the resource of strong interference subchannels are totally sacrificed to help the weak interference subchannels to achieve interference-free transmission, since no new bits are transmitted in strong interference subchannels. Then, a natural question is that under what conditions will this scheme have performance gain over the individual coding? Is this scheme optimal? We answer these questions in this section.

5.1 Sum capacity

Theorem 1 *For two-user parallel symmetric interference channel with deterministic model, when the number of cross-link levels in weak interference subchannels equals to that in strong interference subchannels, the joint coding scheme will achieve the sum capacity*

$$C_{\Sigma} = \sum_{s \in \mathbb{S}_{weak}} 2n_s, \tag{13}$$

where \mathbb{S}_{weak} represents the set of weak interference subchannels, s denotes a weak interference subchannel in \mathbb{S}_{weak} , and n_s denotes the number of direct-link signal levels in subchannel s .

Proof We first prove the converse by deriving the sum-rate constraints and then prove the achievability by providing the achieved sum rate of the joint coding scheme.

Converse The deterministic model of two-user parallel symmetric interference channel belongs to a class of deterministic interference channel studied by El Gamal and Costa [18]. The El Gamal-Costa model is redrawn in Fig. 8, where the outputs Y_1 and Y_2 and the interferences V_1 and V_2 are deterministic functions of the inputs X_1 and X_2 :

$$\begin{aligned} Y_1 &= f_1(X_1, V_2), \\ Y_2 &= f_2(X_2, V_1), \\ V_1 &= g_1(X_1), \\ V_2 &= g_2(X_2), \end{aligned} \tag{14}$$

where $f_1(\cdot, \cdot)$ and $f_2(\cdot, \cdot)$ satisfy the conditions

$$\begin{aligned} H(Y_1|X_1) &= H(V_2), \\ H(Y_2|X_2) &= H(V_1), \end{aligned} \tag{15}$$

for all product probability distributions on X_1X_2 .

In the considered parallel symmetric interference channel, X_1 represents the transmit bits of all subchannels of user 1, $g_1(X_1)$ represents the cross-link shifting function over X_1 , and $f_1(X_1, V_2)$ represents the function that involves direct-link shifting over X_1 and modulo-2 sum with V_2 . Similar representations are applied to $X_2, g_2(X_2)$, and $f_2(X_2, V_1)$. In (15), the conditional entropy of Y_1 over X_1 equals to the entropy of V_2 , which means that X_1 can be uniquely identified from Y_1 given a determined V_2 . Similarly, X_2 can be uniquely identified from Y_2 given a determined V_1 .

The capacity region of this class of deterministic interference channel is characterized as [18]

$$\begin{aligned} R_1 &\leq H(Y_1|V_2), \\ R_2 &\leq H(Y_2|V_1), \\ R_1 + R_2 &\leq H(Y_1|V_1V_2) + H(Y_2), \\ R_1 + R_2 &\leq H(Y_2|V_1V_2) + H(Y_1), \\ R_1 + R_2 &\leq H(Y_1|V_1) + H(Y_2|V_2), \\ 2R_1 + R_2 &\leq H(Y_1) + H(Y_1|V_1V_2) + H(Y_2|V_2), \\ 2R_2 + R_1 &\leq H(Y_2) + H(Y_2|V_1V_2) + H(Y_1|V_1). \end{aligned} \tag{16}$$

Considering that there are totally S subchannels, due to the symmetric property of each subchannel, we denote the number of direct-link signal levels of the s th subchannel as n_s and the number of cross-link signal levels as m_s . Following the derivation in [16], the entropies in (16) can be further simplified as

$$H(Y_1|V_2) \leq \sum_{s=1}^S H(Y_{1,s}|V_{2,s}) \leq \sum_{s=1}^S n_s, \tag{17}$$

$$H(Y_1) \leq \sum_{s=1}^S H(Y_{1,s}) \leq \sum_{s=1}^S \max(m_s, n_s), \tag{18}$$

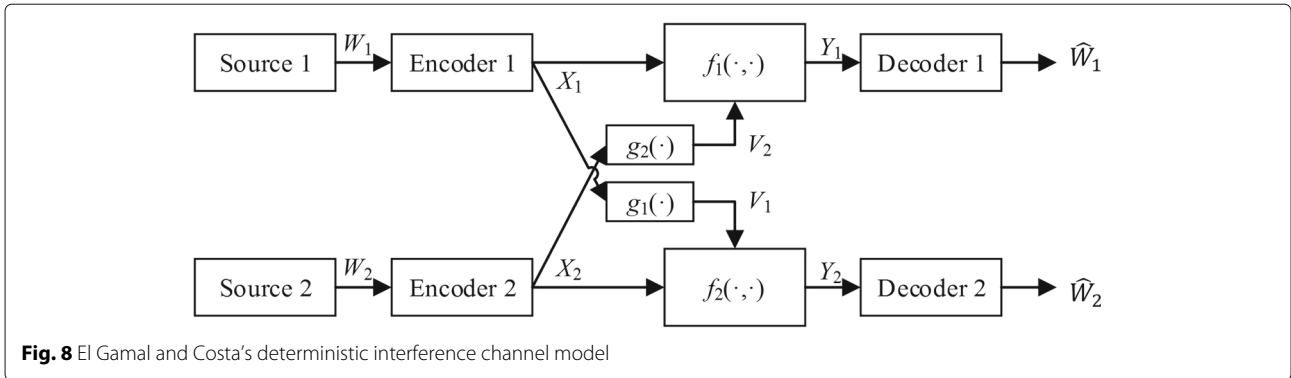


Fig. 8 El Gamal and Costa's deterministic interference channel model

$$H(Y_1|V_1V_2) \leq \sum_{s=1}^S H(Y_{1,s}|V_{1,s}V_{2,s}) \leq \sum_{s=1}^S \max(n_s - m_s, 0), \tag{19}$$

$$H(Y_1|V_1) \leq \sum_{s=1}^S H(Y_{1,s}|V_{1,s}) \leq \sum_{s=1}^S \max(n_s - m_s, m_s). \tag{20}$$

Similar results hold for Y_2 . Substituting these results in (16), we can obtain the sum-rate constraints as

$$\begin{aligned} R_1 + R_2 &\leq H(Y_1|V_1V_2) + H(Y_2) \\ &\leq \sum_{s=1}^S \max(n_s - m_s, 0) + \sum_{s=1}^S \max(m_s, n_s) \\ &= \sum_{s=1}^S \max(m_s, 2n_s - m_s), \end{aligned} \tag{21}$$

and

$$\begin{aligned} R_1 + R_2 &\leq H(Y_1|V_1) + H(Y_2|V_2) \\ &\leq \sum_{s=1}^S 2 \max(n_s - m_s, m_s). \end{aligned} \tag{22}$$

Considering that in weak interference subchannels, $n_s > m_s$ and, in strong interference subchannels, $n_s < m_s$, (21) can be further expressed as

$$R_1 + R_2 \leq \sum_{s \in \mathbb{S}_{\text{weak}}} (2n_s - m_s) + \sum_{s \in \mathbb{S}_{\text{strong}}} m_s, \tag{23}$$

where \mathbb{S}_{weak} and $\mathbb{S}_{\text{strong}}$ represent the set of weak and strong interference subchannels, respectively.

Similarly, (22) can be further expressed as

$$R_1 + R_2 \leq \sum_{s \in \mathbb{S}_{\text{noisy}}} 2(n_s - m_s) + \sum_{s \in \mathbb{S}_{\text{medium}}} 2m_s + \sum_{s \in \mathbb{S}_{\text{strong}}} 2m_s, \tag{24}$$

where $\mathbb{S}_{\text{noisy}}$ represents the set of weak interference subchannels satisfying $m_s/n_s < 1/2$, $\mathbb{S}_{\text{medium}}$ represents

the set of weak interference subchannels satisfying $1/2 \leq m_s/n_s < 1$, and $\mathbb{S}_{\text{strong}}$ represents the set of strong interference subchannels satisfying $m_s/n_s \geq 1$.

Since the number of cross-link signal levels in weak interference subchannels equals to that in strong interference subchannels, i.e.,

$$\sum_{s \in \mathbb{S}_{\text{weak}}} m_s = \sum_{s \in \mathbb{S}_{\text{strong}}} m_s, \tag{25}$$

then (23) can be simplified as

$$R_1 + R_2 \leq \sum_{s \in \mathbb{S}_{\text{weak}}} 2n_s, \tag{26}$$

and (24) can be simplified as

$$\begin{aligned} R_1 + R_2 &\leq \sum_{s \in \mathbb{S}_{\text{noisy}}} 2(n_s - m_s) + \sum_{s \in \mathbb{S}_{\text{medium}}} 2(n_s - m_s) \\ &\quad + \sum_{s \in \mathbb{S}_{\text{medium}}} (4m_s - 2n_s) + \sum_{s \in \mathbb{S}_{\text{strong}}} 2m_s \\ &= \sum_{s \in \mathbb{S}_{\text{weak}}} 2n_s + \sum_{s \in \mathbb{S}_{\text{medium}}} (4m_s - 2n_s). \end{aligned} \tag{27}$$

According to the relationship of m_s and n_s in medium weak interference subchannels, the second term in the last step of (27) is no less than zero, indicating that the constraint (26) is stricter than (27). Thus, the active sum-rate constraint is (26).

Achievability For the proposed joint coding scheme, in weak interference subchannels, users transmit new bits regardless of interference and, in strong interference subchannels, only interfered bits are transmitted. Since the number of cross-link signal levels in weak interference subchannels equals to that in strong interference subchannels, the received bits can be jointly decoded. The achieved sum rate of two users is

$$R_1 + R_2 = \sum_{s \in \mathbb{S}_{\text{weak}}} 2n_s. \tag{28}$$

Comparing (28) with (26), we conclude that the joint coding scheme achieves the sum capacity. \square

Theorem 2 *For K -user parallel symmetric interference channel with deterministic model, when the number of cross-link levels in weak interference subchannels equals to that in strong interference subchannels, the joint coding scheme achieves a sum rate*

$$R_{\Sigma} = \sum_{s \in \mathbb{S}_{\text{weak}}} Kn_s. \quad (29)$$

The proof of Theorem 2 is the same as proving the achievability part in Theorem 1.

While the achievable sum rate of K -user parallel symmetric interference channel with deterministic model has been obtained, we do not know the sum capacity of this channel yet. However, we conjecture that the proposed joint coding scheme achieves the sum capacity, since each user achieves a data rate as high as in the two-user interference channels.

5.2 Achievable GDoF

To obtain an explicit expression of the achievable GDoF and compare it with the individual coding scheme, we consider a special case of the parallel symmetric interference channel. Assume that the number of direct-link signal levels are n for all the subchannels; the number of cross-link signal levels in weak interference subchannels are m_1 , and those in strong interference subchannels are m_2 . The interference strength of the two kinds of subchannels can be expressed as

$$\alpha_1 = \frac{m_1}{n} < 1, \quad \alpha_2 = \frac{m_2}{n} > 1.$$

For the proposed joint coding scheme, α_1 strong interference subchannels can assist α_2 weak interference subchannels. For convenience of demonstration, the number of weak interference subchannels is normalized to 1. In this sense, we say one strong interference subchannel can assist to recover the contaminated bits in α_2/α_1 weak interference subchannels. For two-user case, according to Theorem 1, the sum capacity of these $(\alpha_2/\alpha_1 + 1)$ subchannels is

$$C_{\Sigma} = \sum_{s \in \mathbb{S}_{\text{weak}}} 2n = 2n \frac{\alpha_2}{\alpha_1}. \quad (30)$$

Then, with (8), the GDoF per user is

$$d_{\text{Joint}}(\alpha_1, \alpha_2) = \frac{\alpha_2}{\alpha_1}. \quad (31)$$

For multi-user case, since the achieved sum rate is (29), the joint coding scheme achieves the per user GDoF as same as (31). That means, in K -user parallel symmetric interference channels, each user achieves a GDoF which

is the same as that can be achieved in two-user interference channels. Thus, for multi-user case, the joint coding scheme is at least GDoF optimal.

5.3 GDoF gains

We continually consider the scenario where one strong interference subchannel is used to assist α_2/α_1 weak interference subchannels. By individual coding, the GDoF of the strong interference subchannel is $d(\alpha_2)$ and the GDoF of the weak interference subchannel is $d(\alpha_1)$. Then, the total GDoF of these $(\alpha_2/\alpha_1 + 1)$ subchannels per user is

$$d_{\text{Indiv}}(\alpha_1, \alpha_2) = \frac{\alpha_2}{\alpha_1} d(\alpha_1) + d(\alpha_2), \quad (32)$$

where $d(\alpha_1)$ and $d(\alpha_2)$ can be obtained from (11).

Compared with the achieved GDoF by joint coding, the average GDoF gain per subchannel in each user is

$$\begin{aligned} \Delta \bar{d}(\alpha_1, \alpha_2) &= \frac{d_{\text{Joint}}(\alpha_1, \alpha_2) - d_{\text{Indiv}}(\alpha_1, \alpha_2)}{\alpha_2/\alpha_1 + 1} \\ &= \frac{\frac{\alpha_2}{\alpha_1} [1 - d(\alpha_1)] - d(\alpha_2)}{\frac{\alpha_2}{\alpha_1} + 1}. \end{aligned} \quad (33)$$

In Table 1, we list the values of $\Delta \bar{d}(\alpha_1, \alpha_2)$ under various combinations of α_1 and α_2 . From the results, we can see that we are able to provide positive GDoF gain when we use very strong interference subchannels to assist all kinds of weak interference subchannels and use moderately strong interference subchannels to assist noisy weak interference subchannels and fairly weak interference subchannels. However, when we use moderately strong interference subchannels to assist moderately weak interference subchannels, no gain can be obtained. Besides, when using very strong interference subchannels to assist moderately strong interference subchannels, we can still obtain positive gain under certain conditions, although this is not the typical scenario that we have studied.

5.4 Numerical results

To demonstrate the GDoF gain, we provide some numerical results in this part. We first calculate the average achievable GDoFs when one strong interference subchannel coexists with α_2/α_1 weak interference subchannels. In this example, we fix $\alpha_2 = 3$ and change α_1 from 0 to 1.5. Of course, when $\alpha_1 > 1$, the channel no longer belongs to weak interference subchannel. But by setting the parameter in this range, we can obtain more useful insights. Figure 9 shows the results, where the ‘‘W’’ form solid line in blue represents the performance of individual coding and the red dash line represents the performance of the proposed joint coding. Note the blue solid line in Fig. 9 is not so straight as the one in Fig. 4. This comes from the fact that the result in Fig. 9 is obtained by averaging among $\alpha_2/\alpha_1 + 1$ subchannels. It can be seen in Fig. 9 when $\alpha_1 \in (0, 1)$, there are positive gains and, when $\alpha_1 > 1$, the gain is

Table 1 Average GDoF gain per subchannel

$\Delta \bar{d}(\alpha_1, \alpha_2)$	$\alpha_2 \in (1, 2)$	$\alpha_2 \in (2, +\infty)$
$\alpha_1 \in (0, \frac{1}{2})$	$\frac{\alpha_1 \alpha_2}{2(\alpha_1 + \alpha_2)} \in (0, \frac{1}{5})$	$\frac{(\alpha_2 - 1)\alpha_1}{\alpha_2 + \alpha_1} \in (0, \frac{1}{2})$
$\alpha_1 \in (\frac{1}{2}, \frac{2}{3})$	$\frac{2\alpha_2 - 3\alpha_1 \alpha_2}{2\alpha_1 + 2\alpha_2} \in (0, \frac{1}{5})$	$\frac{\alpha_2 - \alpha_2 \alpha_1 - \alpha_1}{\alpha_2 + \alpha_1} \in (0, \frac{1}{2})$
$\alpha_1 \in (\frac{2}{3}, 1)$	0	$\frac{\alpha_1(\alpha_2 - 2)}{2(\alpha_2 + \alpha_1)} \in (0, \frac{1}{2})$
$\alpha_1 \in (1, 2)$	-	$\frac{\alpha_1(2\alpha_2 - \alpha_1 \alpha_2 - 2\alpha_1)}{2(\alpha_2 + \alpha_1)} \in (-\frac{4}{3}, \frac{1}{2})^*$

* $\Delta \bar{d} > 0$ when $\alpha_2 > \frac{2\alpha_1}{2-\alpha_1}$

still positive within a certain range. Only when α_1 is larger, the gains become negative. This result indicates that, even when all the subchannels experience strong interference, it still has chance to improve the average achievable GDoF if we use very strong interference subchannels to assist strong interference subchannels.

Now, we provide a more comprehensive result in Fig. 10, where α_1 varies from 0 to 1 and α_2 varies from 1 to 4. In this figure, only GDoF gain is drawn, from which the dependency of the gain $\Delta \bar{d}$ over different α_1 and α_2 can be seen more clearly. For a fixed α_1 , $\Delta \bar{d}$ grows monotonically with α_2 . This result comes from the fact that the more cross-link signal levels can be used to employ retransmission in the strong interference subchannel, the more weak interference subchannels can be assisted. For a fixed α_2 , $\Delta \bar{d}$ varies like an N-curve, first increases when $0 < \alpha_1 < \frac{1}{2}$, then decreases when $\frac{1}{2} < \alpha_1 < \frac{2}{3}$, and finally increases again when $\frac{2}{3} < \alpha_1 < 1$. This is mainly because of the behavior of $d(\alpha_1)$ achieved by the individual coding, as can be seen in Fig. 4. When $0 < \alpha_1 < 1$, $d(\alpha_1)$ is a reverse N-curve.

In the cases when the individual coding can achieve high GDoF, the gain of joint coding is relatively low.

In [17], only the subchannels in very strong interference can help to recover the signals for subchannels in strong and weak interference. While in this paper, from the above analysis, we know that the proposed joint coding scheme can also let the strong interference subchannel help the weak interference subchannel. Moreover, only two-user case is considered in [17], but K-user case is also considered in this paper. In [17], the interference channel is assumed to be bursty, and the helping mechanism cannot work when the channel is constant. However, the scheme proposed in this paper always works no matter the channel is constant or bursty. In particular, when the channel is bursty, different time slots can be regarded as different subchannels.

6 Conclusions

In this paper, a general joint coding scheme in parallel symmetric interference channel with deterministic model was proposed where the cross-links of the strong

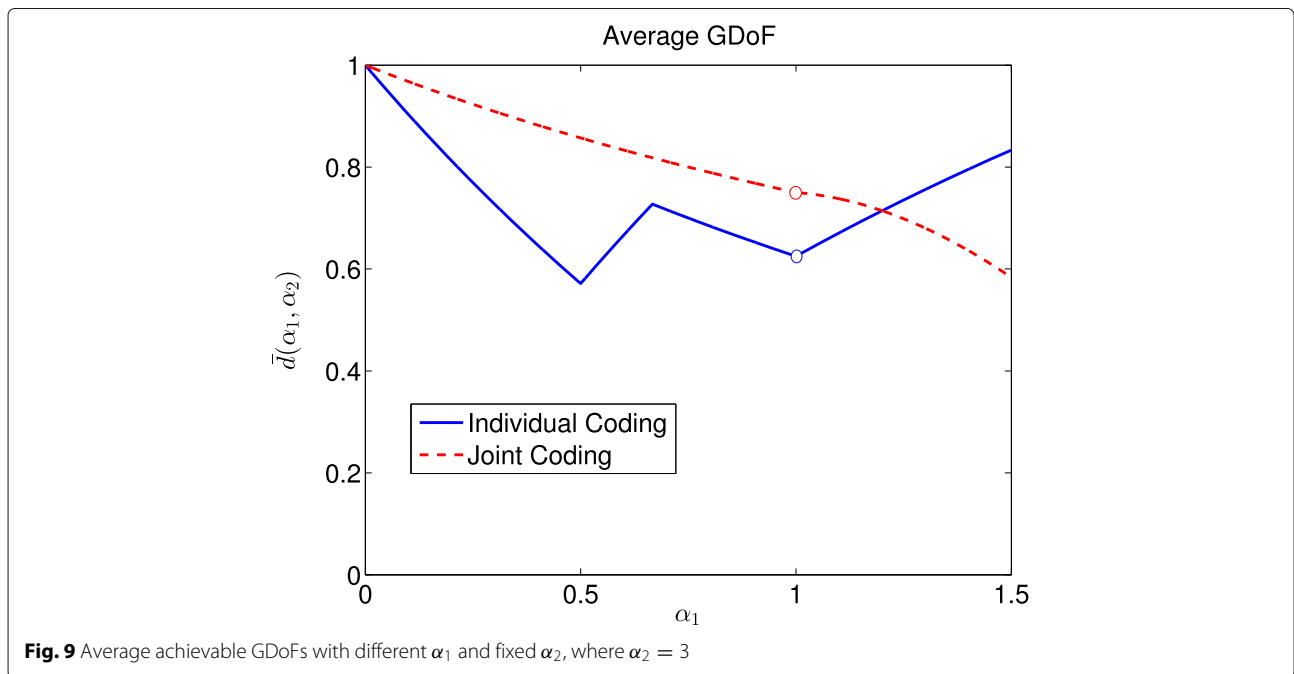
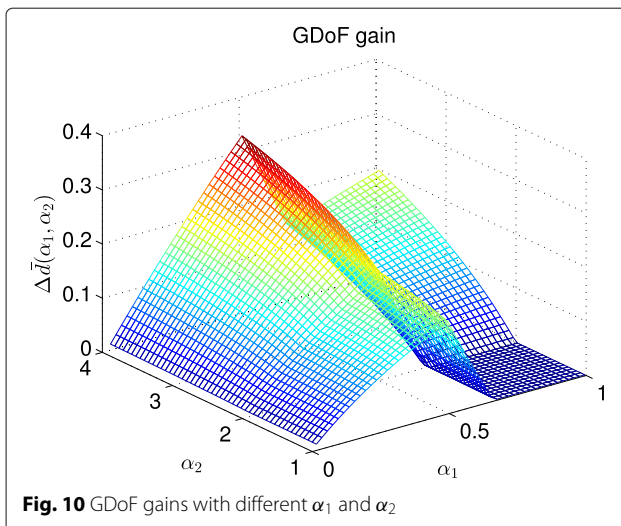


Fig. 9 Average achievable GDoFs with different α_1 and fixed $\alpha_2 = 3$



interference subchannels were effectively used to assist interference mitigation in weak interference subchannels. We proved that this joint coding scheme can achieve the sum capacity in two-user case and can achieve the GDoF in multi-user case. Numerical results demonstrated substantial GDoF gains over the individual coding scheme.

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